

Research Article

# A characterization relating domination, semitotal domination and total Roman domination in trees

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**Abstract:** A total Roman dominating function on a graph G is a function  $f:V(G) \to \{0,1,2\}$  such that for every vertex  $v \in V(G)$  with f(v) = 0 there exists a vertex  $u \in V(G)$  adjacent to v with f(u) = 2, and the subgraph induced by the set  $\{x \in V(G): f(x) \geq 1\}$  has no isolated vertices. The total Roman domination number of G, denoted  $\gamma_{tR}(G)$ , is the minimum weight  $\omega(f) = \sum_{v \in V(G)} f(v)$  among all total Roman dominating functions f on G. It is known that  $\gamma_{tR}(G) \geq \gamma_{t2}(G) + \gamma(G)$  for any graph G with neither isolated vertex nor components isomorphic to  $K_2$ , where  $\gamma_{t2}(G)$  and  $\gamma(G)$  represent the semitotal domination number and the classical domination number, respectively. In this paper we give a constructive characterization of the trees that satisfy the equality above.

Keywords: Total Roman domination; semitotal domination; domination; trees.

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### 1. Introduction

Throughout this paper we consider G = (V(G), E(G)) as a simple graph of order n = |V(G)|. Given a vertex v of G, N(v) and N[v] represent the open neighbourhood and the closed neighbourhood of v, respectively.

A dominating set of a graph G is a set  $D \subseteq V(G)$  such that every vertex not in D is adjacent to at least one vertex in D. The minimum cardinality among all dominating sets is called the domination number of G and is denoted by  $\gamma(G)$ . We refer to [9, 10] for numerous results on this parameter. Now, we consider a recent variant of the concept of domination. A semitotal dominating set (STDS) of a graph G without isolated vertices, is a dominating set D of G such that every vertex in D is within distance two of another vertex of D. The semitotal domination number, denoted by  $\gamma_{t2}(G)$ , is the minimum cardinality among all STDSs of G. This parameter was introduced by Goddard et al. in [8], and was also further studied in [11, 12].

Functions defined on graphs is another variant very studied in domination theory. Let  $f:V(G) \to \{0,1,2\}$  be a function on a graph G. The function f generates three sets  $V_0$ ,  $V_1$  and  $V_2$ , where  $V_i = \{v \in V(G) : f(v) = i\}$  for  $i \in \{0,1,2\}$ . We will write  $f(V_0, V_1, V_2)$  so as to refer to the function f. For a set  $S \subseteq V(G)$ ,  $f(S) = \sum_{v \in S} f(v)$  and we define the weight of f as  $\omega(f) = f(V(G)) = |V_1| + 2|V_2|$ . In this sense, by an f(V(G))-function, we mean a function of weight f(V(G)). We shall also use the following notations:  $V_{1,2} = \{v \in V_1 : N(v) \cap V_2 \neq \emptyset\}$  and  $V_{1,1} = V_1 \setminus V_{1,2}$ .

One of the most remarkable dominating functions defined on graphs are the total Roman dominating functions, which were introduced by Liu and Chang [13]. A total Roman dominating function (TRDF) on a graph G without isolated vertices, is a function  $f(V_0, V_1, V_2)$  such that for every vertex  $v \in V_0$  there exists a vertex  $u \in N(v) \cap V_2$ , and the subgraph induced by  $V_1 \cup V_2$  has no isolated vertices. The total Roman domination number of G, denoted by  $\gamma_{tR}(G)$ , is the minimum weight among all TRDFs on G.

The total Roman domination number was first presented and deeply studied in [1]. Further results on total Roman domination can be found for example, in [2–7]. In [4], Cabrera et al. established the following lower bound for the total Roman domination number of a graph.

**Theorem 1.** [4] For any graph G with neither isolated vertex nor components isomorphic to  $K_2$ ,

$$\gamma_{tR}(G) \ge \gamma_{t2}(G) + \gamma(G).$$

Also, the authors [4] posed the following open problem.

**Problem 1.** Characterize the graphs G satisfying  $\gamma_{tR}(G) = \gamma_{t2}(G) + \gamma(G)$ .

In this article, we address this open problem by giving a constructive characterization of trees satisfying the equality above.

#### 1.1. Some Additional Concepts and Notation

For a set  $D \subseteq V(G)$ , as usual, its open neighbourhood and closed neighbourhood are  $N(D) = \bigcup_{v \in D} N(v)$  and  $N[D] = N(D) \cup D$ , respectively. The boundary of the set D is defined as  $\partial(D) = N(D) \setminus D$ . The private neighbourhood pn(v, D) of  $v \in D \subseteq V(G)$  is defined by  $pn(v, D) = \{u \in V(G) : N(u) \cap D = \{v\}\}$ . Each vertex in pn(v, D) is called a private neighbour of v with respect to v. The external private neighbourhood pn(v, D) of v consists of the private neighbours of v in v in

For any two vertices u and v, the distance d(u, v) between u and v is the minimum length of a u - v path. The diameter of G, denoted by diam(G), is the maximum distance among pairs of vertices of G.

A leaf vertex of a graph G is a vertex of degree one, and a support vertex of G is a vertex adjacent to a leaf. The set of leaves and support vertices are denoted by L(G) and S(G), respectively. Let  $SS(G) = N(S(G)) \setminus (L(G) \cup S(G))$ ,  $S_{adj}(G) = S(G) \cap N(S(G))$  and  $S_s(G) = \{v \in S(G) : |N(v) \cap L(G)| \geq 2\}$ . Also, given a set  $D \subseteq V(G)$  we denote I(D) as an independent set of maximum cardinality in G[D] such that  $|I(D) \cap S(G)|$  is maximum.

A tree is a connected and acyclic graph. A star  $K_{1,n-1}$  is a tree of order  $n \geq 3$  with a central vertex of degree n-1 and the remaining vertices are leaves. A double star is a tree with exactly two vertices that are not leaves. A rooted tree T is a tree with a distinguished special vertex r, called the root. For each vertex  $v \neq r$  of T, the parent of v is the neighbour of v on the unique r-v path, while a child of v is any other neighbour of v. A descendant of v is a vertex  $u \neq v$  such that the unique v-v path contains v. Thus, every child of v is a descendant of v. The set of descendants of v is denoted by D(v), and we define  $D[v] = D(v) \cup \{v\}$ . The maximal subtree at v is the subtree of v induced by v0, and is denoted by v1.

# 2. Trees T with $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$

We begin with a useful result which provides some properties that satisfies a specific TRDF for the trees T with  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ . Before, we shall need the following lemma.

**Lemma 1.** [1] If G is a graph with no isolated vertex, then there exists a  $\gamma_{tR}(G)$ -function  $f(V_0, V_1, V_2)$  such that either  $V_2$  is a dominating set in G, or the set S of vertices not dominated by  $V_2$  satisfies  $G[S] = kK_2$  for some  $k \geq 1$ , where  $S \subseteq V_1$  and  $\partial(S) \subseteq V_0$ .

**Theorem 2.** Let T be a tree with  $diam(T) \ge 3$  such that  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ . Then there exists a  $\gamma_{tR}(T)$ -function  $f(V_0, V_1, V_2)$  satisfying the following conditions.

(i) Either  $V_2$  is a dominating set of T, or the set  $V_{1,1}$  satisfies  $T[V_{1,1}] = kK_2$  for some  $k \geq 1$ , where  $\partial(V_{1,1}) \subseteq V_0$ .

- (ii)  $V_2 \cup I(V_{1,1})$  is a  $\gamma(T)$ -set and  $V_2 \cup V_{1,2} \cup I(V_{1,1})$  is a  $\gamma_{t2}(T)$ -set.
- (iii)  $V_{1,2} = \emptyset$  or  $T[V_{1,2}]$  is isomorphic to an edgeless graph. Furthermore, if  $v \in V_{1,2}$ , then  $|N(v) \cap V_2| = 1$ .
- (iv) If  $v \in V_2$ , then  $V_0 \cap epn(v, V_2) \neq \emptyset$ .
- (v) If  $v \in V_2 \cap N(V_{1,2})$ , then  $N(v) \cap V_2 = \emptyset$ .
- (vi) If  $v \in L(T)$ , then  $v \in V_0 \cup V_{1,1}$ .
- (vii) If  $v \in S_s(T)$ , then  $v \in V_2$  and  $N(v) \cap L(T) \subseteq V_0$ .

*Proof.* Let  $f(V_0, V_1, V_2)$  be a  $\gamma_{tR}(T)$ -function which satisfies Lemma 1 such that f(L(T)) is minimum. Observe that the set  $S \subseteq V_1$  of vertices not dominated by  $V_2$  is  $V_{1,1}$ , i.e.,  $S = V(T) \setminus N[V_2] = V_{1,1}$ . Hence, condition (i) holds.

Now, we proceed to prove (ii). First, we notice that  $A = V_2 \cup I(V_{1,1})$  and  $B = V_2 \cup V_{1,2} \cup I(V_{1,1})$  are a dominating set and a semitotal dominating set, respectively. Hence,  $\gamma(T) \leq |A|$  and  $\gamma_{t2}(T) \leq |B|$ . Since  $|A| + |B| = \gamma_{tR}(T)$  and  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ , we obtain that  $|B| + |A| = \gamma_{t2}(T) + \gamma(T)$ . If  $|A| > \gamma(T)$ , then  $|B| < \gamma_{t2}(T)$ , which is a contradiction. Therefore,  $|A| = \gamma(T)$  and so,  $|B| = \gamma_{t2}(T)$ , which completes the proof of (ii).

Next, we proceed to prove (iii). Let  $v \in V_{1,2}$ . Clearly,  $N(v) \cap V_2 \neq \emptyset$ . If  $N(v) \cap V_{1,2} \neq \emptyset$  or  $|N(v) \cap V_2| > 1$ , then  $(V_2 \cup V_{1,2} \cup I(V_{1,1})) \setminus \{v\}$  is a semitotal dominating set of T, which is a contradiction with the fact that  $V_2 \cup V_{1,2} \cup I(V_{1,1})$  is a  $\gamma_{t2}(T)$ -set by (ii). Therefore,  $N(v) \cap V_{1,2} = \emptyset$  and  $|N(v) \cap V_2| = 1$ , which implies that  $T[V_{1,2}]$  is isomorphic to an edgeless graph, and that  $|N(v) \cap V_2| = 1$ , which completes the proof of (iii).

In order to prove (iv), let  $v \in V_2$  and suppose that  $V_0 \cap epn(v, V_2) = \emptyset$ . Let  $f'(V_0', V_1', V_2')$  be a function defined on T as follows:  $V_2' = V_2 \setminus \{v\}$ ,  $V_1' = V_1 \cup \{v\}$  and  $V_0' = V_0$ . We claim that f' is a TRDF on T. Since f is a TRDF on T, then by the definition of f', we only need to prove that every vertex  $x \in N(v) \cap V_0'$  has a neighbour in  $V_2'$ . Let  $x \in N(v) \cap V_0'$ . As  $V_0 \cap epn(v, V_2) = \emptyset$  and  $V_0' = V_0$ , then there exists a vertex  $y \in N(x) \cap (V_2 \setminus \{v\}) \subseteq V_2'$ , as desired. Hence, f' is a TRDF on T and satisfies that  $\omega(f') < \omega(f) = \gamma_{tR}(T)$ , which is a contradiction. Therefore,  $V_0 \cap epn(v, V_2) \neq \emptyset$ , as desired.

Now, we proceed to prove (v). Let  $v \in V_2 \cap N(V_{1,2})$  and  $u \in V_{1,2} \cap N(v)$ . By (iii) we have that  $N(u) \cap V_2 = \{v\}$ . If  $N(v) \cap V_2 \neq \emptyset$ , then as f is a TRDF on T and  $N(u) \cap V_2 = \{v\}$ , we deduce that the function f'' defined by f''(u) = 0 and f''(x) = f(x) whenever  $x \in V(T) \setminus \{u\}$ , is a TRDF on T and satisfies that  $\omega(f'') < \omega(f) = \gamma_{tR}(T)$ , which is a contradiction. Therefore,  $N(v) \cap V_2 = \emptyset$ , as desired.

Next, we proceed to prove (vi). Let  $v \in L(T)$ . If  $v \in V_2$ , then as  $T[V_1 \cup V_2]$  has no isolated vertex, we obtain that  $V_0 \cap epn(v, V_2) = \emptyset$ , which is a contradiction with condition (iv). Now, if  $v \in V_{1,2}$ , then the support associated to v, namely u, satisfies that  $u \in V_2$ . Let  $z \in N(u) \cap V_0$ . We consider the function g, defined by

g(v) = 0, g(z) = 1 and g(x) = f(x) whenever  $x \in V(T) \setminus \{v, z\}$ . Notice that g is a  $\gamma_{tR}(T)$ -function as well and satisfies that g(L(T)) < f(L(T)), which is a contradiction. Therefore,  $v \notin V_{1,2} \cup V_2$ , i.e.  $v \in V_0 \cup V_{1,1}$  as desired.

Finally, we proceed to prove (vii). Let  $v \in S_s(T)$ . Hence,  $|N(v) \cap L(T)| \geq 2$ . Notice that every support vertex has positive weight under f. Hence  $v \in V_1 \cup V_2$ . If  $v \in V_1$ , then for every  $h \in N(v) \cap L(T)$  we have that  $h \in V_1$ . Let  $w \in N(v) \setminus L(T)$  (notice that w exists because  $diam(T) \geq 3$ ). Now, the function g, defined by g(v) = 2, g(h) = 0 if  $h \in N(v) \cap L(T)$ ,  $g(w) = \max\{1, f(w)\}$  and g(x) = f(x) otherwise, is a  $\gamma_{tR}(T)$ -function as well and satisfies that g(L(T)) < f(L(T)), which is a contradiction. Therefore,  $v \in V_2$  and  $N(v) \cap L(T) \subseteq V_0$ , which completes the proof.

**Remark 1.** If T' is a subtree of a tree T, then  $\gamma(T') \leq \gamma(T)$  and  $\gamma_{t2}(T') \leq \gamma_{t2}(T)$ .

We next provide a constructive characterization of the trees T satisfying  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ . To this end, we need to introduce some additional terminology.

An almost semitotal dominating set of a tree T relative to a vertex v (ASTDS of T relative to v) is a dominating set S of T (with  $|S| \geq 2$ ) such that every vertex in  $S \setminus N[v]$  is within distance 2 of another vertex of S. The almost semitotal domination number of T relative to v, denoted  $\gamma_{t2}(T;v)$ , is the minimum cardinality among all ASTDSs of T relative to v. An ASTDS of T relative to v of cardinality  $\gamma_{t2}(T;v)$  we call a  $\gamma_{t2}(T;v)$ -set. Notice that for any vertex v of a tree T, we have that any STDS is an ASTDS relative to v. Hence,  $\gamma_{t2}(T;v) \leq \gamma_{t2}(T)$  for any vertex v of T.

We define a vertex  $v \in V(T)$  to be a stable vertex if  $\gamma(T-v) \geq \gamma(T)$  and  $\gamma_{t2}(T;v) = \gamma_{t2}(T)$ . Also, we define a vertex  $v \in V(T)$  to be a semi-stable vertex if  $\gamma_{t2}(T;v) = \gamma_{t2}(T)$ . Finally, we consider the following sets.

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\begin{split} W^1_{t2}(T) &= \{v \in V(T) : v \text{ belongs to some } \gamma_{t2}(T)\text{-set}\}. \\ W^a_{t2}(T) &= \{v \in V(T) : v \text{ belongs to some } \gamma_{t2}(T;v)\text{-set}\}. \\ S_2(T) &= \{v \in S(T) : f(v) = 2 \text{ for some } \gamma_{tR}(T)\text{-function } f\}. \end{split}
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Next, we show an example of some definitions above. For the tree T given in the Figure 1 we have the following.

- The set  $\{s_1, s_2, s_3\}$  is the only  $\gamma_{t2}(T)$ -set and the only  $\gamma_{t2}(T; v)$ -set.
- The sets  $\{h, s_2, s_3\}$  and  $\{s_1, s_2, s_3\}$  are the only  $\gamma_{t2}(T; h)$ -sets.
- $W_{t2}^1(T) = \{s_1, s_2, s_3\}$  and  $W_{t2}^a(T) = \{h, s_1, s_2, s_3\}.$

For integers a, b, c with  $a \ge 1$ ,  $b \in \{0, 1\}$  and  $c \ge 0$ , the graph  $T_{a,b,c}$  is defined as the graph obtained from  $P_4$ ,  $P_3$ ,  $P_2$  and  $N_1$  by taking one copy of  $N_1$ , a copies of  $P_4$ , b copies of  $P_3$  and c copies of  $P_2$  and joining by an edge one support vertex of each copy of  $P_4$  and one leaf vertex of each copy of  $P_3$  and  $P_2$  with the vertex of  $N_1$ . The vertex associated to the copy of  $N_1$  is called the *special vertex* of  $T_{a,b,c}$ . In Figure 2 we show the tree  $T_{1,1,1}$ .

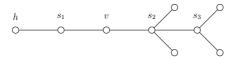


Figure 1. A tree T where  $h \in W^a_{t2}(T) \setminus W^1_{t2}(T)$  and  $v \in V(T) \setminus (W^1_{t2}(T) \cup W^a_{t2}(T))$ .

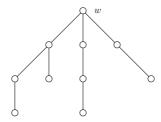


Figure 2. The structure of the tree  $T_{1,1,1}$ , where w is the special vertex.

**Remark 2.** For any integers a, b, c with  $a \ge 1, b \in \{0, 1\}$  and  $c \ge 0$ ,

$$\gamma_{tR}(T_{a,b,c}) = \gamma_{t2}(T_{a,b,c}) + \gamma(T_{a,b,c}).$$

Proof. Let  $T_{a,b,c}$  be the tree defined above with special vertex  $w \in V(T_{a,b,c})$ . Let  $S_b(T_{a,b,c}) = \{x \in S(T_{a,b,c}) \setminus S_{adj}(T_{a,b,c}) : d(x,w) = 2\}$ . Now, we define a function  $f(V_0, V_1, V_2)$  on  $T_{a,b,c}$  as follows:  $V_2 = S_{adj}(T_{a,b,c}) \cup S_b(T_{a,b,c})$ ,  $V_0 = \partial(S_{adj}(T_{a,b,c})) \cup (N(S_b(T_{a,b,c})) \cap L(T_{a,b,c}))$  and  $V_1 = V(T_{a,b,c}) \setminus (V_2 \cup V_0)$ . It is easy to see that f is a TRDF on  $T_{a,b,c}$ , which implies that  $\gamma_{tR}(T_{a,b,c}) \leq \omega(f) = 2|V_2| + |V_1| = \gamma_{t2}(T_{a,b,c}) + \gamma(T_{a,b,c})$ . The result follows by Theorem 1.

**Lemma 2.** Let  $T_2$  be a tree obtained from a tree T by attaching a path  $P_2$  to a stable vertex v of T. Then  $\gamma(T_2) = \gamma(T) + 1$  and  $\gamma_{t2}(T_2) = \gamma_{t2}(T) + 1$ .

Proof. Assume  $T_2$  is obtained from T by adding the path  $uu_1$  and the edge uv, where v is a stable vertex of T. Notice that any dominating set of T can be extended to a dominating set of  $T_2$  by adding the vertex u. Hence,  $\gamma(T_2) \leq \gamma(T) + 1$ . Let D be a  $\gamma(T_2)$ -set containing u. If  $D \setminus \{u\}$  is a dominating set of T, then  $\gamma(T) \leq \gamma(T_2) - 1$ . Conversely, if  $D \setminus \{u\}$  is not a dominating set of T, then  $D \setminus \{u\}$  is a dominating set of T - v, and as v is a stable vertex of T, we deduce that  $\gamma(T) \leq \gamma(T - v) \leq \gamma(T_2) - 1$ . Therefore, in both cases, we obtain that  $\gamma(T_2) = \gamma(T) + 1$ .

Moreover, observe that any semitotal dominating set of T can be extended to a semitotal dominating set of  $T_2$  by adding the vertex u. Hence,  $\gamma_{t2}(T_2) \leq \gamma_{t2}(T) + 1$ . Let S be a  $\gamma_{t2}(T_2)$ -set containing u. Notice that  $D \setminus \{u\}$  is an ASTDS of T relative to v. Since v is a stable vertex of T, we have that  $\gamma_{t2}(T) = \gamma_{t2}(T; v) \leq \gamma_{t2}(T_2) - 1$ , which implies that  $\gamma_{t2}(T_2) = \gamma_{t2}(T) + 1$ .

Let  $\mathcal{H}$  be the family of trees T that can be obtained from a sequence of trees  $T_0, \ldots, T_k = T$ , with  $k \geq 0$  in the following way. First, we consider  $T_0 = P_4$ . Then, for any  $i \in \{1, \ldots, k\}$ , the tree  $T_i$  can be obtained from the tree  $T' = T_{i-1}$  by one of the following operations.

**Operation**  $O_1$ : Add a new vertex u to T' and join u to a vertex  $v \in S_2(T')$ .

**Operation**  $O_2$ : Add a path  $P_2$ , and join a leaf of the path to a stable vertex v of T'.

**Operation**  $O_3$ : Add a path  $P_3$ , and join a leaf of the path to a semi-stable vertex  $v \in V(T') \setminus (W_{t2}^1(T') \cup W_{t2}^a(T'))$ .

**Operation**  $O_4$ : Add a tree  $T_{a,b,c}$  with special vertex u, and join u to an arbitrary vertex v of T'.

For instance, notice the tree T given in Figure 1 belongs to the family  $\mathcal{H}$ . First, we consider the subtree  $T' = T[N[\{s_2, s_3\}]]$ . Observe that  $T' \in \mathcal{H}$  because can be obtained from  $P_4$  by repeatedly applying Operation  $O_1$  three times. Now, note that  $v \in L(T')$  is a stable vertex of T'. Therefore, T can be obtained from T' by Operation  $O_2$ , which implies that  $T \in \mathcal{H}$ , as required.

We will now show that every tree T of the family  $\mathcal{H}$  satisfies that  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ .

**Theorem 3.** If  $T \in \mathcal{H}$ , then  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ .

Proof. We proceed by induction on the number r(T) of operations required to construct the tree T. If r(T) = 0, then  $T = P_4$  and satisfies that  $\gamma_{tR}(T) = 4 = \gamma_{t2}(T) + \gamma(T)$ . This establishes the base case. Hence, we now assume that  $k \geq 1$  is an integer and that each tree  $T' \in \mathcal{H}$  with r(T') < k satisfies that  $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$ .

Let  $T \in \mathcal{H}$  be a tree with r(T) = k, which is obtained from a tree  $T' \in \mathcal{H}$  with r(T') = k - 1 by one of the operations defined above. We shall prove that T satisfies that  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ . For this, we consider the following cases, depending on which operation is used to construct the tree T from T'.

Case 1. T is obtained from T' by Operation  $O_1$ . Assume T is obtained from T' by adding a new vertex u and the edge uv, where  $v \in S_2(T')$ . Thus, there exists a  $\gamma_{tR}(T')$ -function f' satisfying that f'(v) = 2. Observe that the function f, defined by f(u) = 0 and f(x) = f'(x) whenever  $x \in V(T')$ , is a TRDF on T. Hence,  $\gamma_{tR}(T) \leq \omega(f) = \omega(f') = \gamma_{tR}(T')$ . Thus, by Theorem 1, the inequality above, the inductive hypothesis and Remark 1, it follows that  $\gamma_{t2}(T) + \gamma(T) \leq \gamma_{tR}(T) \leq \gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T') \leq \gamma_{t2}(T) + \gamma(T)$ . Therefore, we must have equalities throughout the inequality chain above. In particular,  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ .

Case 2. T is obtained from T' by Operation  $O_2$ . Assume T is obtained from T' by adding a path  $uu_1$  and the edge uv where v is a stable vertex of T'. Again, notice that any  $\gamma_{tR}(T')$ -function can be extended to a TRDF on T by assigning the weight 1 to u and  $u_1$ . Hence,  $\gamma_{tR}(T) \leq \gamma_{tR}(T') + 2$ . Thus, by Theorem 1, the inequality above, the inductive hypothesis and Lemma 2, we obtain  $\gamma_{t2}(T) + \gamma(T) \leq \gamma_{tR}(T) \leq \gamma_{tR}(T') + 2 = \gamma_{t2}(T') + \gamma(T') + 2 = \gamma_{t2}(T) + \gamma(T)$ . Thus, we must have equalities throughout the above inequality chain. In particular,  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ .

Case 3. T is obtained from T' by Operation  $O_3$ . Assume T is obtained from T' by adding a path  $uu_1u_2$  and the edge uv where v is a semi-stable vertex and belongs to  $V(T')\setminus (W_{t2}^1(T')\cup W_{t2}^a(T'))$ . Observe that any  $\gamma_{tR}(T')$ -function can be extended to a TRDF on T by assigning the weight 1 to u,  $u_1$  and  $u_2$ . Hence,  $\gamma_{tR}(T) \leq \gamma_{tR}(T') + 3$ . Moreover, notice that  $\gamma_{t2}(T') \leq \gamma_{t2}(T) - 1$ . Now, suppose that  $\gamma_{t2}(T') = \gamma_{t2}(T) - 1$ and let S be a  $\gamma_{t2}(T)$ -set such that  $v, u_1 \in S$ . If  $S' = S \setminus \{u_1\}$  is a STDS of T', then S' is a  $\gamma_{t2}(T')$ -set containing v, which is a contradiction with the fact that  $v \notin W_{t2}^1(T')$ . Hence, S' is not a STDS of T', however S' is an ASTDS of T' relative to v and as v is a semi-stable vertex of T', it follows that  $\gamma_{t2}(T') = \gamma_{t2}(T'; v) \leq |S'| = |S \setminus \{u_1\}| = \gamma_{t2}(T) - 1 = \gamma_{t2}(T')$ . Thus, S' is a  $\gamma_{t2}(T';v)$ -set containing v, which is a contradiction with the fact that  $v \notin W_{t2}^a(T')$ . So,  $\gamma_{t2}(T') \leq \gamma_{t2}(T) - 2$ . Also, it is clear that  $\gamma(T) = \gamma(T') + 1$ . Hence, by Theorem 1, the inequalities above and the inductive hypothesis we obtain  $\gamma_{t2}(T) + \gamma(T) \leq \gamma_{tR}(T) \leq \gamma_{tR}(T') + 3 = \gamma_{t2}(T') + \gamma(T') + 3 \leq \gamma_{t2}(T) + \gamma(T).$ Thus, we must have equalities throughout the inequality chain above. In particular,  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T).$ 

Case 4. T is obtained from T' by Operation  $O_4$ . Assume T is obtained from T' by adding the tree  $T_{a,b,c}$  with special vertex u, and join u to an arbitrary vertex v of T'. Let  $S_b(T_{a,b,c}) = \{x \in S(T_{a,b,c}) \setminus S_{adj}(T_{a,b,c}) : d(x,u) = 2\}$ . Notice that any  $\gamma_{tR}(T')$ -function  $f'(V'_0, V'_1, V'_2)$  can be extended to a TRDF  $f(V_0, V_1, V_2)$  on T as follows:  $V_2 = V'_2 \cup S_{adj}(T_{a,b,c}) \cup S_b(T_{a,b,c}), V_0 = V'_0 \cup \partial(S_{adj}(T_{a,b,c})) \cup (N(S_b(T_{a,b,c})) \cap L(T_{a,b,c}))$  and  $V_1 = V(T) \setminus (V_2 \cup V_0)$ . Hence,  $\gamma_{tR}(T) \leq \omega(f) = \gamma_{tR}(T') + (4a + 3b + 2c)$ . Notice that the definition of f is similar to the one given in the proof of Remark 2, where we deduce that  $\gamma_{tR}(T_{a,b,c}) = \gamma_{t2}(T_{a,b,c}) + \gamma(T_{a,b,c})$ . In that a sense, it is easy to see that  $\gamma_{t2}(T) = \gamma_{t2}(T') + (2a + 2b + c)$  and  $\gamma(T) = \gamma(T') + (2a + b + c)$ . Thus, by Theorem 1, the inequalities above and the inductive hypothesis we obtain that  $\gamma_{t2}(T) + \gamma(T) \leq \gamma_{tR}(T) \leq \gamma_{tR}(T') + (4a + 3b + 2c) = \gamma_{t2}(T') + \gamma(T')$ . Thus, we must have equalities throughout this inequality chain. In particular,  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ .

Now, we prove that any tree T with  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$  belongs to the family  $\mathcal{H}$ .

**Theorem 4.** Let T be a tree with  $diam(T) \geq 3$ . If  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ , then  $T \in \mathcal{H}$ .

Proof. We proceed by induction on the order  $n \geq 4$  of the trees T with  $diam(T) \geq 3$  that satisfy  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ . We observe that if diam(T) = 3, then T is a double star and so,  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ . Thus, either T is a path  $P_4$  or T can be obtained from  $P_4$  by repeatedly applying Operation  $O_1$ . Therefore,  $T \in \mathcal{H}$ . This establishes the base case. We assume now that  $diam(T) \geq 4$ . Also, we consider an integer n > 4 such that each tree T' with |V(T')| < n and  $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$  satisfies that  $T' \in \mathcal{H}$ . Let T be a tree with |V(T)| = n and  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ . We shall prove that  $T \in \mathcal{H}$ . For this, we root the tree T at a leaf vertex T belonging to a longest path in T. Let T be a vertex at maximum distance from T. Clearly, T is a leaf. Let T be the parent of T be the parent of T and T be the parent of T by the parent of T be the pare

Claim I. If  $\delta_T(s) \geq 3$ , then  $T \in \mathcal{H}$ .

**Proof.** Let f be a  $\gamma_{tR}(T)$ -function which satisfies Theorem 2. Since  $\delta_T(s) \geq 3$ , it follows that  $s \in S_s(T)$ , and so, by Theorem 2 (vii), f(s) = 2 and f(h) = 0. Let T' = T - h. Hence, f restricted to V(T') is a TRDF on T', which implies that  $\gamma_{tR}(T') \leq \gamma_{tR}(T)$ . Also, since  $s \in S(T')$ , we have that  $\gamma_{t2}(T) = \gamma_{t2}(T')$  and  $\gamma(T) = \gamma(T')$  by Remark 1. Thus, by Theorem 1, inequalities above and the hypothesis of the theorem we obtain that  $\gamma_{t2}(T') + \gamma(T') \leq \gamma_{tR}(T') \leq \gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T) = \gamma_{t2}(T') + \gamma(T')$ . Consequently, we must have equality throughout this inequality chain. In particular  $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$ . Applying the hypothesis inductive to T', it follows that  $T' \in \mathcal{H}$ .

Another consequence of the equalities given in the inequality chain above is that  $\gamma_{tR}(T') = \gamma_{tR}(T)$ . This implies that f restricted to V(T') is a  $\gamma_{tR}(T')$ -function. Hence,  $s \in S_2(T')$ . Therefore, T can be obtained from T' by Operation  $O_1$ , and consequently,  $T \in \mathcal{H}$ .  $(\diamond)$ 

Notice that the position of vertex s in T with respect to r is not important in the presented proof of Claim I. Hence, we may henceforth assume that  $S_s(T) = \emptyset$ .

Claim II. If  $\delta_T(s) = 2$  and  $\delta_T(v) \geq 3$ , then  $T \in \mathcal{H}$ .

**Proof.** In this case, we have that  $v \in SS(T) \cup S(T)$ . Let  $f(V_0, V_1, V_2)$  be a  $\gamma_{tR}(T)$ -function which satisfies Theorem 2. Now, we analyse the following two cases.

Case 1.  $v \in SS(T)$ . In this case we have that  $v \notin S(T)$ , and as  $|N(v) \cap S(T)| \geq 2$ , Theorem 2 (iii) leads to  $f(v) \neq 1$ . Hence, if f(v) = 0, then f(s) = f(h) = 1. Otherwise, if f(v) = 2, then f(s) = 2 and f(h) = 0. Let  $T' = T - \{s, h\}$ . Notice that, in both cases, f restricted to V(T') is a TRDF on T', and so  $\gamma_{tR}(T') \leq \gamma_{tR}(T) - 2$ . Also, since  $v \in SS(T')$ , it is easy to check that  $\gamma_{t2}(T) \leq \gamma_{t2}(T') + 1$  and  $\gamma(T) \leq \gamma(T') + 1$ . Thus, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain that

$$\gamma_{t2}(T') + \gamma(T') \le \gamma_{tR}(T') \le \gamma_{tR}(T) - 2 = \gamma_{t2}(T) + \gamma(T) - 2 \le \gamma_{t2}(T') + \gamma(T').$$
 (1)

As a consequence, we must have equalities throughout the inequality chain (1). In

particular,  $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$  and by the inductive hypothesis,  $T' \in \mathcal{H}$ . Also, we obtain that  $\gamma_{t2}(T) = \gamma_{t2}(T') + 1$  and  $\gamma(T) = \gamma(T') + 1$ . Notice that any dominating set of T' - v (respectively, ASTDS of T' relative to v) can be extended to a dominating set (respectively, STDS) of T by adding the vertex s. Hence  $\gamma(T) \leq \gamma(T' - v) + 1$  and  $\gamma_{t2}(T) \leq \gamma_{t2}(T'; v) + 1$ . If v is not a stable vertex of T', then we obtain a contradiction with at least one of the equalities  $\gamma_{t2}(T) = \gamma_{t2}(T') + 1$  and  $\gamma(T) = \gamma(T') + 1$ . So, v is a stable vertex of T'. Therefore, T can be obtained from T' by Operation  $O_2$ , and consequently,  $T \in \mathcal{H}$ .

Case 2.  $v \in S(T)$ . Let  $N(v) \cap L(T) = \{h'\}$  (recall that  $S_s(T) = \emptyset$ ). Since  $v, s \in S(T)$ , we have that f(v) = f(s) = 2 and f(h) = f(h') = 0. We analyse the following two subcases.

Subcase 2.1. f(w) > 0. In this subcase, f restricted to  $V(T) \setminus \{s, h\}$  is a TRDF on  $T' = T - \{s, h\}$ . Hence  $\gamma_{tR}(T') \leq \gamma_{tR}(T) - 2$ . Also, since  $v \in S(T')$ , it is easy to check to  $\gamma_{t2}(T) \leq \gamma_{t2}(T') + 1$  and  $\gamma(T) = \gamma(T') + 1$ . Thus, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain Inequality chain (1). So, we must have equalities throughout this inequality chain. In particular,  $\gamma_{t2}(T) = \gamma_{t2}(T') + 1$  and also,  $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$ , which implies that  $T' \in \mathcal{H}$  by the inductive hypothesis,. By proceeding analogously to the Case 1 of Claim II, we deduce that v is a stable vertex of T'. Hence, T can be obtained from T' by Operation  $O_2$ . Therefore,  $T \in \mathcal{H}$ .

Subcase 2.2. f(w)=0. First, we observe that  $w \notin S(T)$ . Now, we notice that w does not have two children  $v_1$  and  $v_2$  such that  $T_{v_1}=T_{v_2}=P_3$  (otherwise, the set  $(V_2 \cup V_{1,2} \cup I(V_{1,1}) \cup \{w\}) \setminus \{v_1,v_2\}$  is a STDS of T, which is a contradiction with Theorem 2 (ii)). Hence, by Theorem 2 we have that  $T_w \cong T_{a,b,c}$ . Let  $T'=T-V(T_w)$ . Since f(w)=0, we have that f restricted to V(T') is a TRDF on T'. So,  $\gamma_{tR}(T') \leq \omega(f)-f(V(T_w))=\gamma_{tR}(T)-(4a+3b+2c)$ . Also, by Theorem 2, it is easy to check to  $\gamma_{t2}(T)=\gamma_{t2}(T')+(2a+2b+c)$  and  $\gamma(T)=\gamma(T')+(2a+b+c)$ . Thus, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain  $\gamma_{t2}(T')+\gamma(T')\leq \gamma_{tR}(T')\leq \gamma_{tR}(T)-(4a+3b+2c)=\gamma_{t2}(T)+\gamma(T)-(4a+3b+2c)=\gamma_{t2}(T')+\gamma(T')$ . So, we must have equalities throughout the inequality chain above. In particular,  $\gamma_{tR}(T')=\gamma_{t2}(T')+\gamma(T')$ .

If  $diam(T') \geq 3$ , then by the inductive hypothesis,  $T' \in \mathcal{H}$ . Therefore, T can be obtained from T' by Operation  $O_4$ , and consequently,  $T \in \mathcal{H}$ .

Now, we suppose that  $diam(T') \in \{1, 2\}$ . This implies that  $T' \in \{P_2, P_3\}$ . Since  $T_w \cong T_{a,b,c}$  and  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$ , we deduce that  $T \cong T_{a,b',c'}$ , and so, by the structure of T, it is easy to check that  $T \in \mathcal{H}$ .  $(\diamond)$ 

Claim III. If  $\delta_T(s) = \delta_T(v) = 2$  and  $\delta_T(w) \ge 3$ , then  $T \in \mathcal{H}$ .

**Proof.** Let  $f(V_0, V_1, V_2)$  be a  $\gamma_{tR}(T)$ -function which satisfies Theorem 2. Let  $v' \in N(w) \setminus \{z, v\}$ . Notice that  $v' \in L(T) \cup S(T) \cup SS(T)$ . Suppose that f(v) = 2. If  $v' \in L(T) \cup S(T)$ , then the set  $(V_2 \cup I(V_{1,1})) \setminus \{v\}$  is a dominating set of T, which is a contradiction with Theorem 2 (ii). So,  $v' \in SS(T)$ , which implies that f(v') = 1 as

consequence of Theorem 2. Notice that the set  $(V_2 \cup V_{1,2} \cup I(V_{1,1}) \cup \{w\}) \setminus \{v',v\}$  is a STDS of T, which is again a contradiction with Theorem 2 (ii). Thus,  $f(v) \leq 1$ . Now, we analyse the following two cases.

Case 1. f(v) = 0. Notice that f(w) = 2 and f(s) = f(h) = 1. Let  $T' = T - \{h, s\}$ . By proceeding analogously to the Case 1 of Claim II, we deduce that  $T' \in \mathcal{H}$  and that v is a stable vertex of T'. Hence, T can be obtained from T' by Operation  $O_2$ , and consequently,  $T \in \mathcal{H}$ .

Case 2. f(v) = 1. In this case, Theorem 2 leads to f(s) = 2 and f(h) = f(w) = 0. If  $w \in S(T)$  then f(w) = 2, which is a contradiction with Theorem 2 (ii) because the set  $(V_2 \cup I(V_{1,1})) \setminus \{v\}$  is a dominating set of T. Hence,  $w \notin S(T)$ . If there exists a child of w different from v, belonging to SS(T), then, as proceeding as before, we can obtain a STDS of T of cardinality less than  $\gamma_{t2}(T)$ , which is a contradiction. So,  $N(w) \setminus \{v, z\} \subseteq S(T)$ . Next, we consider the following two subcases.

Subcase 2.1. There exists  $x \in N(w) \setminus \{v, z\}$  such that f(x) = 2. In this subcase, it is easy to see that  $T_w \cong T_{a,1,c}$ . Hence, by proceeding analogously to the Subcase 2.2 (Case 2) of Claim II, we deduce that  $T \in \mathcal{H}$ .

Subcase 2.2.  $N(w)\setminus\{v,z\}\subseteq V_{1,1}$ . This implies that f(z)=2. Let  $N(v')\cap L(T)=\{s'\}$  and  $T''=T-\{v',s'\}$ . Again, by proceeding analogously to the Case 1 of Claim II, we deduce that  $T''\in\mathcal{H}$  and that w is a stable vertex of T''. Hence, T can be obtained from T'' by Operation  $O_2$ , and consequently,  $T\in\mathcal{H}$ .  $(\diamond)$ 

Claim IV. If  $\delta_T(s) = \delta_T(v) = \delta_T(w) = 2$ , then  $T \in \mathcal{H}$ .

**Proof.** Let  $f(V_0, V_1, V_2)$  be a  $\gamma_{tR}(T)$ -function which satisfies Theorem 2. If f(v) = 0, then f(s) = f(h) = 1, f(w) = 2 and f(z) > 0. If f(z) = 2, then  $(V_2 \cup I(V_{1,1})) \setminus \{w\}$  is a dominating set of T, which is a contradiction with Theorem 2 (ii). Otherwise, if f(z) = 1, then  $(V_2 \cup V_{1,2} \cup I(V_{1,1})) \setminus \{z\}$  is a STDS of T, which is again a contradiction with Theorem 2 (ii). Thus  $f(v) \in \{1,2\}$  and we analyse the following two cases.

Case 1. f(v) = 1. In this case, we obtain that f(s) = f(z) = 2 and f(h) = f(w) = 0. Let  $T' = T - \{h, s, v\}$ . Since f(z) = 2 and by Theorem 2 (vi), we have that  $diam(T) \ge 6$ . Notice that f restricted to V(T') is a TRDF on T'. Hence,  $\gamma_{tR}(T') \le \gamma_{tR}(T) - 3$ . Moreover, it is clear that  $\gamma(T) = \gamma(T') + 1$ . Also, every STDS of T' can be extended to a STDS of T by adding the vertices v and s. So,  $\gamma_{t2}(T) \le \gamma_{t2}(T') + 2$ . Therefore, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain  $\gamma_{t2}(T') + \gamma(T') \le \gamma_{tR}(T') \le \gamma_{tR}(T) - 3 = \gamma_{t2}(T) + \gamma(T) - 3 \le \gamma_{t2}(T') + \gamma(T')$ . Hence, we must have equalities throughout this inequality chain. In particular,  $\gamma_{tR}(T') = \gamma_{t2}(T') + \gamma(T')$  and by the inductive hypothesis,  $T' \in \mathcal{H}$ .

Another consequence of equalities above is that  $\gamma_{t2}(T) = \gamma_{t2}(T') + 2$ . Notice that any ASTDS of T' relative to w can be extended to a STDS of T by adding the vertices v and s. Hence  $\gamma_{t2}(T) \leq \gamma_{t2}(T'; w) + 2$ . If w is not a semi-stable vertex of T', then we obtain a contradiction with the fact that  $\gamma_{t2}(T) = \gamma_{t2}(T') + 2$ . So, w is a semi-stable vertex of T'.

Moreover, if  $w \in W_{t2}^1(T') \cup W_{t2}^a(T')$ , then there exists either a  $\gamma_{t2}(T'; w)$ -set S or a  $\gamma_{t2}(T')$ -set D containing to w. Observe that the sets  $S \cup \{s\}$  and  $D \cup \{s\}$  are STDSs of T. This implies, in both cases, that  $\gamma_{t2}(T) \leq \gamma_{t2}(T') + 1$ , which is a contradiction. So,  $w \in V(T') \setminus (W_{t2}^1(T') \cup W_{t2}^a(T'))$ .

Thus, as  $diam(T') \geq 3$ , we have that T can be obtained from T' by Operation  $O_3$ . Therefore,  $T \in \mathcal{H}$ .

Case 2. f(v)=2. In this case, we obtain that f(s)=2 and f(h)=f(w)=0. Theorem 2 (iv) leads to  $f(z)\neq 2$ . Let  $T'=T-\{h,s\}$ . Notice that the function f', defined by f'(x)=f(x) if  $x\in V(T')\setminus \{v,w\}$  and f'(v)=f'(w)=1, is a TRDF on T'. Hence,  $\gamma_{tR}(T')\leq \omega(f')=\gamma_{tR}(T)-2$ . Also, every dominating set (respectively, STDS) of T' can be extended to a dominating set (respectively, STDS) of T by adding the vertex s. So,  $\gamma(T)\leq \gamma(T')+1$  and  $\gamma_{t2}(T)\leq \gamma_{t2}(T')+1$ . Therefore, by Theorem 1, the inequalities above and the hypothesis of the theorem, we obtain  $\gamma_{t2}(T')+\gamma(T')\leq \gamma_{tR}(T')\leq \gamma_{tR}(T)-2=\gamma_{t2}(T)+\gamma(T)-2\leq \gamma_{t2}(T')+\gamma(T')$ . Hence, we must have equalities throughout this inequality chain. In particular,  $\gamma_{tR}(T')=\gamma_{t2}(T')+\gamma(T')$  and by the inductive hypothesis,  $T'\in \mathcal{H}$ .

Another consequence of equalities above is that  $\gamma(T) = \gamma(T') + 1$  and  $\gamma_{t2}(T) = \gamma_{t2}(T') + 1$ . By proceeding analogously to the Case 1 of Claim II, it is easy to see that v is a stable vertex of T'. Thus, T can be obtained from T' by Operation  $O_2$ . Therefore,  $T \in \mathcal{H}$ , which completes the proof.

As an immediate consequence of Theorems 3 and 4, we have the desired characterization.

**Theorem 5.** A tree T of order  $n \geq 3$  satisfies that  $\gamma_{tR}(T) = \gamma_{t2}(T) + \gamma(T)$  if and only if  $T \cong K_{1,n-1}$  or  $T \in \mathcal{H}$ .

Finally, the examples given in the Figure 3 show that the operations  $O_1$ ,  $O_2$ ,  $O_3$  and  $O_4$  are required in the previous characterization.

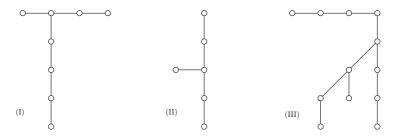


Figure 3. The tree (I) can only be obtained from  $P_4$  by a sequence of operations  $O_1, O_3$ ; the tree (II) can only be obtained from  $P_4$  by the Operation  $O_2$  and the tree (III) can only be obtained from  $P_4$  by the Operation  $O_4$  (using the tree  $T_{1,1,0}$ ).

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