

## Total domination versus triad domination

Teresa W. Haynes<sup>1,2,\*</sup>, Michael A. Henning<sup>2</sup>

<sup>1</sup>Department of Mathematics and Statistics, East Tennessee State University,  
Johnson City, TN 37614-0002 USA  
[haynes@etsu.edu](mailto:haynes@etsu.edu)

<sup>2</sup>Department of Mathematics and Applied Mathematics, University of Johannesburg,  
Auckland Park, 2006 South Africa  
[mahenning@uj.ac.za](mailto:mahenning@uj.ac.za)

Received: 26 August 2025; Accepted: 1 November 2025

Published Online: 7 November 2025

Dedicated to Odile Favaron

**Abstract:** A dominating set in a graph  $G$  is a set  $S$  of vertices of  $G$  such that every vertex in  $V(G) \setminus S$  is adjacent to a vertex in  $S$ . A total dominating set in  $G$  is a dominating set  $S$  with the additional property that the subgraph  $G[S]$  induced by  $S$  is isolate-free. A triad dominating set  $S$  (also called a 3-component dominating set in the literature) is a dominating set in which every component in  $G[S]$  has order at least 3. The triad domination number, denoted  $\gamma_{\text{td}}(G)$ , of  $G$  is the minimum cardinality among all triad dominating sets of  $G$ . We observe that  $\gamma(G) \leq \gamma_t(G) \leq \gamma_{\text{td}}(G)$ , where  $\gamma(G)$  is the domination number of  $G$  and  $\gamma_t(G)$  is the total domination number of  $G$ . We show that the ratio  $\frac{\gamma_{\text{td}}(G)}{\gamma_t(G)}$  is at most  $\frac{3}{2}$ . We establish properties of the graphs  $G$  satisfying  $\gamma_{\text{td}}(G) = \frac{3}{2}\gamma_t(G)$  and characterize the trees achieving this equality.

**Keywords:** domination, total domination, triad domination, 3-component domination.

**AMS Subject classification:** 05C69

### 1. Introduction

Triad domination, also called 3-component domination in the literature, is a robust form of domination that requires the components induced by a dominating set to have order at least 3. Dominating sets inducing large components have been studied in [2, 4, 5, 9, 11, 12], for example. We begin with some basic terminology.

For a set  $S$  of vertices in a graph  $G$ , we denote the *subgraph induced by  $S$*  by  $G[S]$ . A *component* of a graph  $G$  is a maximal connected subgraph of  $G$ . A *dominating set* in

---

\* Corresponding Author

a graph  $G$  is a set  $S$  of vertices of  $G$  such that every vertex in  $V(G) \setminus S$  has a neighbor in  $S$ , where two vertices are neighbors if they are adjacent. The *domination number* of a graph  $G$ , denoted  $\gamma(G)$ , is the minimum cardinality among all dominating sets of  $G$ . A *total dominating set*, abbreviated *TD-set*, in  $G$  is a dominating set  $S$  of  $G$  with the additional property that  $G[S]$  is isolate-free, that is, the components of  $G[S]$  have cardinality at least 2. The *total domination number* of  $G$ , denoted  $\gamma_t(G)$ , is the minimum cardinality among all total dominating sets of  $G$ , and a total dominating set of cardinality  $\gamma_t(G)$  is called a  $\gamma_t$ -set of  $G$ . A thorough treatment of domination in graphs and its variants can be found in the books [6–8, 10].

For  $k \geq 1$  an integer, a dominating set  $S$  is called a *k-component dominating set* if every component in  $G[S]$  has order at least  $k$ . The *k-component domination number*, denoted  $\gamma_k(G)$ , is the minimum cardinality among all  $k$ -component dominating sets of  $G$ , and such a set with minimum cardinality is called a  $\gamma_k$ -set of  $G$ . Since the vertex set of any connected graph  $G$  of order  $n \geq k$  is a  $k$ -component dominating set of  $G$ , the  $k$ -component domination number is well-defined for such graphs and  $k \leq \gamma_k(G) \leq n$ . This concept of component domination was introduced in 2016 by Alvarado, Dantas, and Rautenbach [1]. We note that for  $k = 1$  and  $k = 2$ , the  $k$ -component domination numbers are the domination number and the total domination number, respectively, and we state this formally as follows.

**Observation 1.** The following properties hold in a connected graph  $G$  of order  $n \geq k$ .

- (a)  $\gamma_1(G) = \gamma(G)$ .
- (b) If  $n \geq 2$ , then  $\gamma_2(G) = \gamma_t(G)$ .
- (c) If  $n \geq k \geq 2$ , then  $\gamma_{k-1}(G) \leq \gamma_k(G) \leq n$ .

### 1.1. Triad domination

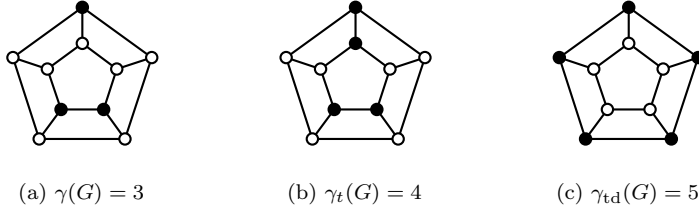
Among the  $k$ -component domination parameters, the 3-component domination number stands out as especially compelling due to the foundational roles of the 1-component domination and 2-component domination numbers. Hence, we find 3-component domination interesting in its own right and in this special case we coin the term *triad dominating set* for a 3-component dominating set and we denote the *triad domination number* of  $G$  by  $\gamma_{td}(G)$  rather than  $\gamma_3(G)$ .

The shift from “ $k$ -component domination” to “triad domination” for the special case of  $k = 3$  sets this parameter apart and aligns the notation more closely with the standard notation used for the total domination number. We remark that the notation  $\gamma_k(G)$  for the  $k$ -component domination number is also used in the literature for multiple domination (where a vertex not in the dominating set  $S$  is dominated by at least  $k$  vertices in  $S$ ) and is used for distance domination (where a vertex not in the dominating set  $S$  is within distance  $k$  from at least one vertex in  $S$ ). Thus, another motivation for the proposed notation change is to avoid confusion with other parameters. By Observation 1, we have the following inequality chain.

**Observation 2.** If  $G$  is a connected graph of order at least 3, then

$$\gamma(G) \leq \gamma_t(G) \leq \gamma_{td}(G). \quad (1.1)$$

We note that the inequalities in Inequality (1) may be strict. For example, if  $G$  is the 5-prism  $G = C_5 \square K_2$  illustrated in Figure 1, then  $\gamma(G) = 3$ ,  $\gamma_t(G) = 4$ , and  $\gamma_{td}(G) = 5$ , where a  $\gamma$ -set is indicated by the shaded vertices in Figure 1(a), a  $\gamma_t$ -set is indicated by the shaded vertices in Figure 1(b), and a  $\gamma_{td}$ -set is indicated by the shaded vertices in Figure 1(c).



**Figure 1.** The 5-prism  $G = C_5 \square K_2$

## 1.2. Graph theory notation

For graph theory notation and terminology, we generally follow [8]. Specifically, let  $G$  be a graph with vertex set  $V(G)$  and edge set  $E(G)$ , and of order  $n = |V(G)|$ . The *open neighborhood* of a vertex  $v$  in  $G$  is  $N_G(v) = \{u \in V(G) : uv \in E(G)\}$  and the *closed neighborhood* of  $v$  is  $N_G[v] = \{v\} \cup N_G(v)$ . We denote the *degree* of a vertex  $v$  in  $G$  by  $\deg_G(v)$ . An *isolated vertex* is a vertex of degree 0, while a vertex of degree 1 is called a *leaf*. The (unique) neighbor of a leaf is a *support vertex*. We denote a cycle on  $n$  vertices by  $C_n$ . For a set  $S$  of vertices in a graph  $G$  and a vertex  $v \in S$ , the set

$$\text{epn}_G(v, S) = \{u \in V(G) \setminus S : N(u) \cap S = \{v\}\}$$

is called the set of  *$S$ -external private neighbors* of  $v$  with respect to  $S$ . Thus, a vertex  $u \in V(G)$  is an  *$S$ -external private neighbor* of  $v \in S$  if  $u \in V(G) \setminus S$  and the only neighbor of  $u$  in  $S$  is  $v$ . For an integer  $k \geq 1$ , we use the standard notation  $i \in [k]$  to mean that  $i$  is an integer and  $1 \leq i \leq k$ .

By a *partition* of a set  $S$ , we mean a family  $\pi = \{S_1, \dots, S_q\}$  of nonempty pairwise disjoint sets whose union equals  $S$ , that is, for all  $i$  and  $j$  with  $1 \leq i < j \leq q$ , we have  $S_i \cap S_j = \emptyset$  and the union of the sets  $S_i$  over all  $i \in [q]$  is the set  $S$ , that is,

$$S = \bigcup_{i=1}^q S_i.$$

The *distance*  $d_G(u, v)$ , between two vertices  $u$  and  $v$  in a connected graph  $G$  is the minimum length among all  $u, v$ -paths in  $G$ . A *packing* in a graph  $G$  is a set  $P$  of vertices whose closed neighborhoods are pairwise disjoint; that is,  $d_G(u, v) \geq 3$  for every two distinct vertices  $u, v \in P$ .

If  $S$  is a set of vertices in a graph  $G$  and  $v \in V(G)$ , then we define the *distance* from  $v$  to  $S$ , denoted  $d_G(v, S)$ , as the minimum distance in  $G$  from  $v$  to a vertex in  $S$ , that is,

$$d_G(v, S) = \min_{u \in S} d_G(u, v).$$

Moreover, if  $X$  and  $Y$  are two subsets of vertices in  $G$ , then we define the *distance* between  $X$  and  $Y$ , denoted  $d_G(X, Y)$ , as the minimum distance between a vertex in  $X$  and a vertex in  $Y$ , that is,

$$d_G(X, Y) = \min_{x \in X, y \in Y} d_G(x, y).$$

We say that a component of a graph is *k-large* if it has order at least  $k$  and *k-small* otherwise. We simply say large and small, dropping the  $k$ , if  $k$  is understood from the context. A *connected dominating set* in  $G$  is a dominating set  $S$  of  $G$  with the additional property that  $G[S]$  is connected, and the *connected domination number* of a graph  $G$ , denoted  $\gamma_c(G)$ , is the minimum cardinality among all connected dominating sets of  $G$ .

## 2. Background and discussion of results

In [9], the authors established an upper bound on the connected domination number of a graph in terms of its  $k$ -component domination number for all  $k \geq 1$ .

**Theorem 3.** ([9]) *For  $k \geq 1$  if  $G$  is a connected graph of order at least  $k$ , then*

$$\gamma_c(G) \leq \left( \frac{k+2}{k} \right) \gamma_k(G) - 2.$$

The following bound, due to Favaron and Kratsch [3] in 1991, is a corollary to Theorem 3.

**Theorem 4.** ([3]) *If  $G$  is a connected graph of order at least 2, then  $\gamma_c(G) \leq 2\gamma_t(G) - 2$ .*

Using Theorem 4, we have the following upper bound on the  $k$ -component domination number in terms of the total domination number.

**Theorem 5.** ([9]) *For  $k \geq 3$  if  $G$  is a connected graph of order at least  $k$ , then*

$$\gamma_k(G) \leq \max\{2\gamma_t(G) - 2, k\}.$$

In Section 3, we will prove the following for a connected graph  $G$  of order  $n \geq k \geq 3$ .

**Theorem 6.** *If  $G$  is a connected graph of order  $n \geq k \geq 3$ , then  $\gamma_k(G) \leq \frac{k}{2}\gamma_t(G)$ .*

Comparing the upper bounds of  $2\gamma_t(G) - 2$  and  $\frac{k}{2}\gamma_t(G)$ , we note that the bound of Theorem 5 is better than the bound Theorem 6 for  $k \geq 4$ ; while for  $k = 3$  and  $\gamma_t(G) \geq 4$ , the bound in Theorem 6 is the better choice.

We show that the only possibilities for sharpness of the bound in Theorem 6 are if  $\gamma_t(G) = 2$  or if  $k = 3$ . In fact, if  $G$  is a graph of order  $n \geq k$  and  $\gamma_t(G) = 2$ , then  $\gamma_k(G) = k$ , achieving the bound of  $\frac{k}{2}\gamma_t(G)$  for all  $k \geq 3$ . Thus, we turn our attention to  $k = 3$  (triad domination) and consider the ratio

$$\frac{\gamma_{td}(G)}{\gamma_t(G)} \leq \frac{3}{2}$$

in Section 4, where we present properties of graphs achieving this ratio. Finally, in Section 5, we characterize the extremal trees.

### 3. Proof of Theorem 6

We now present a proof to Theorem 6. Recall its statement.

**Theorem 6** *If  $G$  is a connected graph of order  $n \geq k \geq 3$ , then*

$$\gamma_k(G) \leq \frac{k}{2}\gamma_t(G).$$

*Proof.* Let  $G$  be a connected graph of order  $n \geq k \geq 3$ , and let  $S$  be a  $\gamma_t$ -set of  $G$ . If every component of  $G[S]$  is a  $k$ -large component, then  $S$  is a  $k$ -component dominating set and  $\gamma_t(G) \leq \gamma_k(G) \leq |S| = \gamma_t(G)$ , implying that  $k \leq \gamma_k(G) = \gamma_t(G) < \frac{k}{2}\gamma_t(G)$ . Hence, we may assume that  $G[S]$  has at least one  $k$ -small component.

If  $G[S]$  is connected, that is,  $G[S]$  has exactly one component, then  $G[S]$  is a small component and adding exactly  $k - |S|$  vertices of  $V(G) \setminus S$  to  $S$  creates a  $k$ -component dominating set of cardinality  $k$ . Thus,  $k \leq \gamma_k(G) \leq |S| + (k - |S|) = k \leq \frac{k}{2}\gamma_t(G)$  since  $\gamma_t(G) \geq 2$ . Therefore, we assume that  $G[S]$  is not connected. Let  $G[S]$  have  $q \geq 2$  components, and let  $G_1, G_2, \dots, G_q$  be the components of  $G[S]$ . Let  $S_i = V(G_i)$  for  $i \in [q]$ , and so

$$S = \bigcup_{i=1}^q S_i.$$

We note that  $|S_i| \geq 2$  for  $i \in [q]$  since  $S$  is a TD-set of  $G$ . It follows that  $\gamma_t(G) \geq 4$  and  $q \leq \lfloor \frac{1}{2}|S| \rfloor$ . Relabeling the components of  $G[S]$  if necessary, we assume that  $G_1$  is a  $k$ -small component, that is,  $2 \leq |S_1| < k$ . Since  $G$  is connected and  $S$  is a

TD-set, we note that  $d_G(S_1, S \setminus S_1) \leq 3$ . Hence, there exists a component, say  $G_2$ , in  $G[S]$  such that  $d_G(S_1, S_2) \leq 3$ . Let  $u$  be a vertex of  $G_1$  and  $v$  be a vertex of  $G_2$  for which  $d_G(u, v) \leq 3$ . Note that  $G_2$  may be a  $k$ -large component. Let  $I$  be the internal vertices on a shortest  $u, v$ -path. Thus,  $I \subseteq V(G) \setminus S$  and  $1 \leq |I| \leq 2$ .

We first add the vertices of  $I$  to  $S$  creating a component  $G^*$  having  $r \geq |S_1| + |S_2| + |I| \geq 5$  vertices. If  $k \leq r$ , then no additional vertices are needed for  $G^*$  to be a  $k$ -large component. In this case, we have added at most two vertices. If  $k > r$ , then after the addition of the vertices of  $I$ , at most  $k - r \leq k - 5$  additional vertices are needed to build a  $k$ -large component containing  $G^*$  as a subgraph. Hence, we can create a  $k$ -large component supergraph of  $G_1 \cup G_2$  by adding at most two vertices for  $3 \leq k \leq 5$  and adding at most  $2 + k - 5 = k - 3$  vertices to  $S$  for  $k \geq 6$ .

Now we consider the remaining, if any,  $k$ -small components of  $G[S]$ . Since the newly created  $k$ -large component contains  $G_1 \cup G_2$  as a subgraph and  $|S_1| + |S_2| \geq 4$ , there are at most  $\frac{1}{2}(|S| - 4)$  such remaining components. We note that since  $G$  is connected,  $S$  is a TD-set, and  $n \geq k$ , it is possible to create a  $k$ -large component containing any remaining  $k$ -small component  $G_i$  in  $G[S]$  as a subgraph by adding to  $S$  at most  $k - |S_i| \leq k - 2$  vertices of  $V(G) \setminus S$ . By our previous comments, there are at most  $\frac{1}{2}(|S| - 4)$  of these components. Thus, for  $3 \leq k \leq 5$ , we have

$$\begin{aligned} \gamma_k(G) &\leq |S| + 2 + \frac{1}{2}(|S| - 4)(k - 2) \\ &= \frac{k}{2}|S| - 2k + 6 \\ &= \frac{k}{2}\gamma_t(G) - 2k + 6 \\ &\leq \frac{k}{2}\gamma_t(G). \end{aligned}$$

For  $k \geq 6$ , we have

$$\begin{aligned} \gamma_k(G) &\leq |S| + (k - 3) + \frac{1}{2}(|S| - 4)(k - 2) \\ &= \frac{k}{2}|S| - k + 1 \\ &= \frac{k}{2}\gamma_t(G) - k + 1 \\ &< \frac{k}{2}\gamma_t(G). \end{aligned}$$

This concludes the proof of Theorem 6.  $\square$

By Theorem 6, if  $G$  is a connected graph of order  $n \geq k \geq 3$ , the following ratio holds:

$$\frac{\gamma_k(G)}{\gamma_t(G)} \leq \frac{k}{2}. \quad (3.1)$$

From the proof of Theorem 6, we deduce that equality is only possible if  $\gamma_t(G) = 2$  or  $k = 3$ . As we noted in Section 2, tightness occurs for all  $k$  if  $\gamma_t(G) = 2$ . Henceforth, we restrict our attention to the case when  $k = 3$ , that is, we consider the ratio of the triad domination number and the total domination number.

#### 4. Properties of extremal graphs

Let  $G$  be a connected graph of order  $n \geq 3$ . In the case of  $k = 3$ , Theorem 6 states that

$$\gamma_{td}(G) \leq \frac{3}{2}\gamma_t(G). \quad (4.1)$$

Next we consider properties of graphs attaining the upper bound in Inequality (4.1). We note that if  $\gamma_t(G) = 3$ , then every  $\gamma_t$ -set of  $G$  is also a  $\gamma_{td}$ -set of  $G$  and  $\gamma_{td}(G) = \gamma_t(G) = 3 = k$ .

**Theorem 7.** *Let  $G$  be a connected graph of order  $n \geq 3$  with  $\gamma_t(G) \geq 3$ . If  $\gamma_{td}(G) = \frac{3}{2}\gamma_t(G)$ , then for every  $\gamma_t$ -set  $S$  of  $G$ , the following properties hold:*

- (a)  $G[S] = qK_2$ , where  $q = \frac{1}{2}\gamma_t(G)$ .
- (b) Every independent subset of  $S$  is a packing in  $G$ .
- (c) Every vertex in  $S$  has an  $S$ -external private neighbor.

*Proof.* Let  $G$  be a connected graph of order  $n \geq 3$  with  $\gamma_t(G) \geq 3$  satisfying  $\gamma_{td}(G) = \frac{3}{2}\gamma_t(G)$ . Let  $S$  be an arbitrary  $\gamma_t$ -set of  $G$ . If  $G[S]$  is connected, then  $S$  is a triad dominating set, and so  $\gamma_{td}(G) \leq |S| = \gamma_t(G) < \frac{3}{2}\gamma_t(G)$ , a contradiction. Hence,  $G[S]$  has at least two components. Note that since  $S$  is a TD-set of  $G$ , every component of  $G[S]$  has cardinality at least 2, implying that  $\gamma_t(G) \geq 4$  and every small component of  $G[S]$  is a  $K_2$ -component. Let  $G_1, G_2, \dots, G_q$  denote the components of  $G[S]$ , where  $q \geq 2$ . Let  $S_i = V(G_i)$  for  $i \in [q]$ .

We proceed further by proving three claims, the first of which shows that every component of  $G[S]$  is a small component. Since we are considering the triad domination number, in what follows we simply refer to a 3-large component of  $G[S]$  (of order at least 3) as a large component and a 3-small component of  $G[S]$  (of order 2) as a small component.

**Claim 1.**  $G[S] = qK_2$ , where  $q = \frac{1}{2}\gamma_t(G)$ .

*Proof.* Suppose, to the contrary, that  $G[S]$  has at least one large component, say  $G_q$ , with vertex set  $S_q$ . Thus,  $|S_q| \geq 3$ . If every component of  $G[S]$  is a large component, then  $S$  is a triad dominating set, implying that  $\gamma_{td}(G) = \gamma_t(G) < \frac{3}{2}\gamma_t(G)$ , a contradiction. Hence,  $G[S]$  has at least one small component. Renaming components if necessary, let  $G_1, \dots, G_r$  denote the small components of  $G[S]$  where  $r \geq 1$ , and so  $G_{r+1}, \dots, G_q$  denote the large components of  $G[S]$ . Thus,  $G_i = K_2$  for all  $i \in [r]$ . Let  $S_i = \{u_i, v_i\}$  for  $i \in [q]$ . We note that

$$r \leq \frac{1}{2}(|S| - |S_q|) \leq \frac{1}{2}(|S| - 3) = \frac{1}{2}(\gamma_t(G) - 3). \quad (4.2)$$

The connectivity of  $G$  implies that for each  $i \in [r]$ , at least one of  $u_i$  and  $v_i$  has a neighbor  $x_i$  in  $V(G) \setminus S$ . Letting

$$X = \bigcup_{i=1}^r \{x_i\},$$

the set  $S \cup X$  is a triad dominating set of  $G$ , implying by Inequality (4.2) that

$$\gamma_{\text{td}}(G) \leq |S| + |X| \leq \gamma_t(G) + r \leq \gamma_t(G) + \frac{1}{2}(\gamma_t(G) - 3) < \frac{3}{2}\gamma_t(G),$$

a contradiction. We conclude that every component of  $G[S]$  is small, that is,  $G[S] = qK_2$ , where  $q = \frac{1}{2}\gamma_t(G)$ .  $\square$

**Claim 2.** *If  $S' \subseteq S$  is an independent set, then  $S'$  is a packing in  $G$ .*

*Proof.* Let  $S'$  be an independent subset of  $S$ . Claim 1 implies that every two vertices in  $S'$  belong to different small components of  $G[S]$ . Suppose, to the contrary, that  $S'$  is not a packing in  $G$ . Then there exists vertices  $u$  and  $v$  in  $S'$ , such that  $d_G(u, v) = 2$ . Let  $u'$  and  $v'$  be the neighbors of  $u$  and  $v$ , respectively, in  $G[S]$ . Let  $w \in V(G) \setminus S$  be a common neighbor of  $u$  and  $v$  in  $G$ . Now,  $G[S \cup \{w\}]$  contains a large component containing  $u, u', v$ , and  $v'$ . As before, at most one vertex needs to be added to every remaining small component to create a triad dominating set from  $S$ , and there are at most  $\frac{1}{2}(|S| - 4)$  such components. Thus,

$$\gamma_{\text{td}}(G) \leq |S| + 1 + \frac{1}{2}(|S| - 4) = \frac{3}{2}|S| - 1 = \frac{3}{2}\gamma_t(G) - 1 < \frac{3}{2}\gamma_t(G),$$

a contradiction. Hence,  $S'$  is a packing in  $G$ .  $\square$

**Claim 3.** *Every vertex in  $S$  has an  $S$ -external private neighbor.*

*Proof.* Since  $\gamma_t(G) \geq 4$ , by Claim 1, we have that  $G[S]$  consists of  $q \geq 2$  components and each component is a  $K_2$ -component. Recall that  $G_1, G_2, \dots, G_q$  denote the components of  $G[S]$  and recall that  $S_i = V(G_i)$  for  $i \in [q]$ . Let  $S_i = \{u_i, v_i\}$  for each  $i \in [q]$ . Since  $G$  is connected and  $S$  is a TD-set, we note that  $d_G(S_i, S \setminus S_i) \leq 3$  for each  $i \in [q]$ . By Claim 2,  $d_G(S_i, S \setminus S_i) \geq 3$  for each  $i \in [q]$ . Thus, for each  $i \in [q]$ , there exists some  $j \in [q]$  where  $i \neq j$  such that  $d_G(S_i, S_j) = 3$ . Relabeling the vertices if necessary, we may assume that  $d_G(u_i, u_j) = 3$ . Let  $u_i x y u_j$  be a shortest  $u_i, u_j$ -path in  $G$ . We note that  $x, y \in V(G) \setminus S$ .

To complete the proof, it suffices to show that  $\text{epn}(u_i, S) \neq \emptyset$  and  $\text{epn}(v_i, S) \neq \emptyset$ . If  $x \in \text{epn}(u_i, S)$ , then  $u_i$  has an  $S$ -external private neighbor, as desired. Thus, assume that  $x$  is not an  $S$ -external private neighbor of  $u_i$ , that is,  $x$  has a neighbor



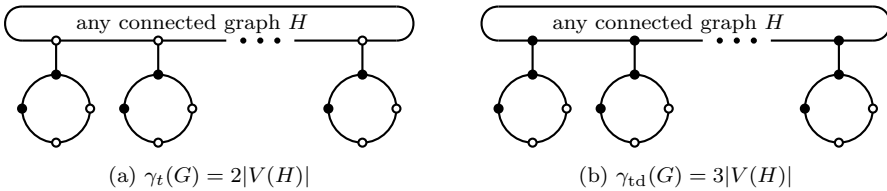
in  $S \setminus \{u_i\}$ . Claim 2 implies that the only possible neighbor of  $x$  in  $S$  is  $v_i$  (since no pair of nonadjacent vertices in  $S$  have a common neighbor). It follows that if  $u_i$  has no  $S$ -external private neighbor, then  $N[u_i] \subseteq N[v_i]$ . In particular,  $v_i x$  is an edge of  $G$ . But then we can create a large component containing  $u_i$ ,  $v_i$ ,  $u_j$ , and  $v_j$  by adding  $x$  and  $y$  to  $S$ . Further, after the addition of these two vertices to  $S$ , at most  $q - 2$  small components remain. Thus, we need to add at most  $q - 2 = \frac{1}{2}(|S| - 4)$  additional vertices to  $S \cup \{x, y\}$  to create a triad dominating set  $S'$ . But now the set  $S' \setminus \{u_i\}$  is also a triad dominating set, implying that  $\gamma_{td}(G) \leq |S' \setminus \{u_i\}| = |S'| - 1 \leq |S| - 1 + 2 + \frac{1}{2}(|S| - 4) = \frac{3}{2}|S| - 1 = \frac{3}{2}\gamma_t(G) - 1 < \frac{3}{2}\gamma_t(G)$ , a contradiction. It follows that  $\text{epn}(u_i, S) \neq \emptyset$ .

Finally, suppose that  $v_i$  has no  $S$ -external private neighbor. But then adding  $x$  and  $y$  to  $S \setminus \{v_i\}$  creates a large component containing  $u_i$ ,  $u_j$ , and  $v_j$ . As before at most  $q - 2 = \frac{1}{2}(|S| - 4)$  vertices in addition to  $x$  and  $y$  are needed to build a triad dominating from  $S \setminus \{v_i\}$ . Again,  $\gamma_{td}(G) \leq |S \setminus \{v_i\}| + 2 + \frac{1}{2}(|S| - 4) = \frac{3}{2}\gamma_t(G) - 1 < \frac{3}{2}\gamma_t(G)$ , a contradiction. Thus,  $\text{epn}(v_i, S) \neq \emptyset$ . This property holds for all  $i \in [q]$ . We conclude that every vertex in  $S$  has an  $S$ -external private neighbor.  $\square$

This completes the proof of Theorem 7.  $\square$

We note that the only cycles achieving the bound of Theorem 6 are the cycles  $C_3$ ,  $C_4$ , and  $C_8$ . To construct an example of an infinite family of graphs that attain the bound, let  $H$  be an arbitrary connected graph, and let  $G$  be obtained from  $H$  as follows: for each vertex  $v \in V(H)$ , add a vertex disjoint 4-cycle  $C_v$  and add the edge joining  $v$  to exactly one vertex of  $C_v$ . We call the subgraph of  $G$  induced by the set  $V(C_v) \cup \{v\}$  a *unit* of  $G$ , denoted  $G_v$ , and we call the graph  $H$  the *base graph* of  $G$ . Let  $\mathcal{G}$  denote the family of all such graphs  $G$ .

Every  $\gamma_t$ -set of a graph  $G$  in the family  $\mathcal{G}$  contains two vertices from the unit  $G_v$  for each  $v \in V(H)$ , and every  $\gamma_{td}$ -set of  $G$  contains three vertices from the unit  $G_v$  for each  $v \in V(H)$ . Thus,  $\gamma_{td}(G) = \frac{3}{2}\gamma_t(G)$ . An example of a graph  $G$  that belongs to the infinite family  $\mathcal{G}$  is illustrated in Figure 2, where the shaded vertices in Figure 2(a) indicate a  $\gamma_t$ -set of  $G$  and the shaded vertices in Figure 2(b) indicate a  $\gamma_{td}$ -set of  $G$ .



**Figure 2.** A graph  $G$  in the family  $\mathcal{G}$  with associated base graph  $H$

## 5. Extremal trees

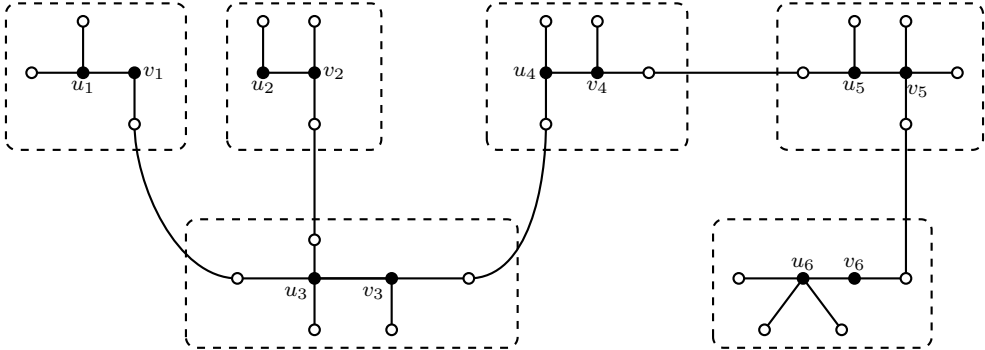
To give a characterization of the trees  $T$  for which  $\gamma_{td}(T) = \frac{3}{2}\gamma_t(T)$ , we need some additional terminology. A double star  $S(r, s)$ , for  $1 \leq r \leq s$ , is a tree with exactly two (adjacent) vertices that are not leaves, with one of these vertices having  $r$  leaf neighbors and the other  $s$  leaf neighbors. A support vertex with exactly one nonleaf neighbor is called a *terminal support vertex*.

For a positive integer  $q$ , let  $H_q$  be any forest consisting of the union of  $q$  double stars with centers labeled  $u_i$  and  $v_i$  for  $i \in [q]$ , and let  $L$  be the set of leaves of  $H_q$ . We note that two  $H_q$  forests need not be isomorphic, in particular, although both have  $q$  double stars, the number of leaves in  $L$  as well as the double star subgraphs may vary.

We define a family  $\mathcal{T}$  of trees as follows. A tree  $T_q$  is in  $\mathcal{T}$  if it can be obtained from a forest  $H_q$  by adding edges between vertices in  $L$  in such a way to ensure that  $T_q$  is connected, no cycle is formed, and at least one of the following holds for each  $u_i$  and  $v_i$  where  $i \in [q]$ .

- (a) both  $u_i$  and  $v_i$  are support vertices,
- (b) at least one of  $u_i$  and  $v_i$  is a terminal support vertex.

We refer to  $H_q$  as the *underlying forest* of  $T_q$ . Note that if  $T = T_q \in \mathcal{T}$ , then  $T$  has order at least 4. See Figure 3 for an example of a tree  $T$  in family  $\mathcal{T}$ , where the underlying forest  $H_6$  is given by the six double stars indicated by the dashed boxes and where the set  $L$  of leaves of  $H_6$  are indicated by the white vertices.



**Figure 3.** A tree in the family  $\mathcal{T}$

**Lemma 1.** *If  $T \in \mathcal{T}$ , then  $\gamma_{td}(T) = \frac{3}{2}\gamma_t(T)$ .*

*Proof.* Let  $T \in \mathcal{T}$ . Then, using the notation of the construction,  $T = T_q$  is obtained

from an underlying forest  $H_q$  of  $q \geq 1$  double stars with its centers labeled  $u_i$  and  $v_i$ , respectively, for  $i \in [q]$ . Recall that  $L$  is the set of leaves of  $H_q$ . We prove two claims.

**Claim 4.**  $\gamma_t(T) = 2q$ .

*Proof.* Note that  $\{u_i, v_i : i \in [q]\}$  is a TD-set of  $T$ , implying that  $\gamma_t(T) \leq 2q$ . Suppose, to the contrary, that  $\gamma_t(T) < 2q$ , and let  $S$  be a  $\gamma_t$ -set of  $T$ . Since  $\gamma_t(T) < 2q$ , it follows that there exists a double star in the underlying forest  $H_q$  having at most one vertex in  $S$ . Without loss of generality, assume that  $u_1 \notin S$ . Recall that every support vertex of  $T$  must be in  $S$ , and so  $u_1$  is not a support vertex of  $T$ . By the definition of  $\mathcal{T}$ , we have that  $v_1$  must be a terminal support vertex with  $u_1$  as its only nonleaf neighbor, and so  $v_1 \in S$ . But then  $v_1$  must have a neighbor in  $S$ . Thus, a leaf neighbor of  $v_1$  is in  $S$ , contradicting the fact that at most one vertex from this underlying double star subgraph is in  $S$ . Hence,  $\gamma_t(T) \geq 2q$ . As observed earlier,  $\gamma_t(T) \leq 2q$ . Consequently,  $\gamma_t(T) = 2q$ .  $\square$

**Claim 5.**  $\gamma_{td}(T) = 3q$ .

*Proof.* By Theorem 6 and Claim 4, we have  $\gamma_{td}(G) \leq \frac{3}{2}\gamma_t(G) = \frac{3}{2} \times 2q = 3q$ . Suppose, to the contrary, that  $\gamma_{td}(T) < 3q$ , and let  $S$  be a  $\gamma_{td}$ -set of  $T$ . We note that every support vertex of  $T$  must be in  $S$ . Since  $\gamma_{td}(T) < 3q$ , it follows that there exists a double star in the underlying forest  $H_q$  having at most two vertices in  $S$ . Without loss of generality, assume that the double star in  $H_q$  contributing at most two vertices to  $S$  has centers labeled  $u_1$  and  $v_1$ , that is,  $|(N_T[u_1] \cup N_T[v_1]) \cap S| \leq 2$ . If both  $u_1$  and  $v_1$  are in  $S$ , then by assumption, these are the only two vertices from  $N_T[u_1] \cup N_T[v_1]$  in  $S$ . But then  $u_1$  and  $v_1$  are in a component of order 2 in  $T[S]$ , contradicting the fact that  $S$  is a triad dominating set of  $T$ . Hence, at most one of  $u_1$  and  $v_1$  is in  $S$ . Assume, without loss of generality,  $u_1 \notin S$ . Again since every support vertex of  $T$  must be in  $S$ , it follows that  $u_1$  is not a support vertex of  $T$ . By construction of  $T$ , the vertex  $v_1$  must be a terminal support vertex with  $u_1$  as its only nonleaf neighbor, and so  $v_1 \in S$ . Since  $v_1$  must be in a large component of  $S$ , we infer that two leaf neighbors of  $v_1$  are in  $S$ , contradicting our earlier supposition that the double star with centers labeled  $u_1$  and  $v_1$  contains at most two vertices in  $S$ . Hence,  $\gamma_{td}(T) \geq 3q$ . As observed earlier,  $\gamma_{td}(T) \leq 3q$ . Consequently,  $\gamma_{td}(T) = 3q$ .  $\square$

By Claims 4 and 5, we have  $\gamma_{td}(T) = 3q = \frac{3}{2} \times 2q = \frac{3}{2}\gamma_t(T)$ , completing the proof of Lemma 1.  $\square$

**Theorem 8.** *Let  $T$  be a tree with order  $n \geq 3$ . Then  $\gamma_{td}(T) = \frac{3}{2}\gamma_t(T)$  if and only if  $T$  is the star  $K_{1,n}$  or  $T \in \mathcal{T}$ .*

*Proof.* We first note that the result holds for stars  $T = K_{1,n-1}$  of order  $n \geq 3$ , as  $\gamma_t(T) = 2$ , and  $\gamma_{td}(T) = 3 = \frac{3}{2}\gamma_t(T)$ . Henceforth, we may assume that  $T$  is not a

star. Thus,  $T$  has order  $n \geq 4$  and  $\text{diam}(T) \geq 3$ . If  $T \in \mathcal{T}$ , then by Lemma 1, we have  $\gamma_{\text{td}}(T) = \frac{3}{2}\gamma_t(T)$ , as desired.

Next assume that  $T$  is a tree of order  $n \geq 4$  with  $\gamma_{\text{td}}(T) = \frac{3}{2}\gamma_t(T)$ , and let  $S$  be a  $\gamma_t$ -set of  $T$ . We will show that  $T = T_q \in \mathcal{T}$  for some  $q \geq 1$ . By Theorem 7(a), we have  $T[S] = qK_2$ , where  $q = \frac{1}{2}\gamma_t(T)$ . Label the edges of  $T[S]$  as  $u_i v_i$  for  $i \in [q]$ . Thus,  $\gamma_t(T) = 2q$  for some integer  $q \geq 1$ , and by assumption,  $\gamma_{\text{td}}(T) = \frac{3}{2}\gamma_t(T) = 3q$ .

Let  $U_i = \text{epn}_T(u_i, S)$  and  $V_i = \text{epn}_T(v_i, S)$  for  $i \in [q]$ . By Theorem 7(c), every vertex in  $S$  has an  $S$ -external private neighbor, and so  $U_i \neq \emptyset$  and  $V_i \neq \emptyset$  for all  $i \in [q]$ . Since  $T$  is a tree, no pair of adjacent vertices  $u_i$  and  $v_i$  share a common neighbor for  $i \in [q]$ . Moreover, by Theorem 7(b), every independent subset of  $S$  is a packing, and so no pair of nonadjacent vertices in  $S$  share a common neighbor. Hence, since  $S$  is a  $\gamma_t$ -set in  $T$ , the set  $\{U_i, V_i : i \in [q]\}$  is a partition of  $V(T) \setminus S$ .

Since  $T$  is a tree, there are no edges in the induced subgraph  $T[U_i \cup V_i]$  for  $i \in [q]$  (else  $T$  would have a cycle). Hence, for each  $i \in [q]$ , the induced subgraph  $F_i = T[U_i \cup V_i \cup \{u_i, v_i\}]$  is a double star. Let

$$H_q = \bigcup_{i=1}^q F_i \quad \text{and} \quad L = \bigcup_{i=1}^q (U_i \cup V_i),$$

that is,  $H_q$  is the forest consisting of the union of these  $q$  double stars and  $L$  is the set of leaves of  $H_q$ . If  $q = 1$ , then  $T = F_1$  is a double star, and so  $T = T_1 \in \mathcal{T}$ , as desired. Hence, we may assume that  $q \geq 2$ . Since  $T$  is a tree,  $T$  is connected by exactly  $q - 1 \geq 1$  edges in  $T[L]$ . Thus,  $T$  can be formed from the forest  $H_q$  by adding edges between the vertices of  $L$  in such a way to connect the vertices without forming a cycle.

All that remains to be shown is that at least one of Condition (a) and Condition (b) in the definition of the family  $\mathcal{T}$  is satisfied. If every vertex in  $S$  is a support vertex, then  $T$  satisfies Condition (a). In this case, adopting our notation in the definition of the family  $\mathcal{T}$ , we have that  $T = T_q \in \mathcal{T}$  and  $H_q$  is the underlying forest of  $T_q$ , yielding the desired result.

Hence, we may assume, without loss of generality, that  $u_1$  is not a support vertex of  $T$ . It follows that every vertex in  $U_1$  has a neighbor in  $L$ . Since  $T$  is a tree, we note that no two vertices in  $U_1$  are adjacent to vertices in the same double star component of  $H_q$  (else  $T$  has a cycle). Thus, for each vertex  $u$  in  $U_1$ , we can select a neighbor  $u'$  of  $u$  such that  $u'$  is a leaf in a double star subgraph  $F_i$  of  $H_q$  for some  $i \in [q]$  and  $i \neq 1$  and no other vertex of  $U_1$  has a neighbor in  $F_i$ . Let  $X$  be the set of these selected vertices. We note that  $|X| = |U_1|$ .

We show next that  $v_1$  is a terminal support vertex in  $T$ . Suppose to the contrary, that  $v_1$  is not a terminal support vertex in  $T$ . Thus, there exists a vertex, say  $v$ , in  $V_1$  such that  $v$  has a neighbor  $w \in L$  in the tree  $T$  and  $w \in F_j$  for some  $j \in [q]$  and  $j \neq 1$ . By our previous comments, since  $T$  is a tree, we note that  $w \notin X$ . We now build a triad dominating set of  $T$ . For each double star  $F_i$ ,  $i \neq 1$ , in the forest  $H_q$  that does not have a vertex in  $X \cup \{w\}$ , we randomly choose a vertex from  $U_i \cup V_i$

and label the collection of these vertices as  $X'$ . We note that  $|X \cup \{w\}| + |X'| = q - 1$ . The set  $D = (S \setminus \{u_1\}) \cup \{v\} \cup (X \cup \{w\}) \cup X'$  is a triad dominating set of  $T$ . Hence,

$$\begin{aligned} \gamma_{\text{td}}(T) \leq |D| &= |(S \setminus \{u_1\}) \cup \{v\}| + |(X \cup \{w\}) \cup X'| \\ &= |S| - 1 + 1 + q - 1 \\ &= 2q + q - 1 \\ &< 3q \\ &= \frac{3}{2}\gamma_t(T), \end{aligned}$$

a contradiction. Thus,  $v_1$  is a terminal support vertex, implying that Condition (b) is satisfied. Hence for each vertex in  $S$ , at least one of Condition (a) and Condition (b) is satisfied. Therefore adopting our notation in the definition of the family  $\mathcal{T}$ , we have that  $T = T_q \in \mathcal{T}$  and  $H_q$  is the underlying forest of  $T_q$ , yielding the desired result and completing the proof of Theorem 8.  $\square$

**Acknowledgements:** Research of the second author was supported in part by the University of Johannesburg.

### Statements and Declarations

The authors declare that no funds, grants, or other support were received during the preparation of this manuscript. The authors have no relevant financial or non-financial interests to disclose. All authors contributed to the study conception and design and commented on previous versions of the manuscript. All authors read and approved the final manuscript. This research did not generate or analyze any datasets.

### References

- [1] J.D. Alvarado, S. Dantas, and D. Rautenbach, *Dominating sets inducing large components*, Discrete Math. **339** (2016), no. 11, 2715–2720.  
<https://doi.org/10.1016/j.disc.2016.05.016>.
- [2] ———, *Dominating sets inducing large components in maximal outerplanar graphs*, J. Graph Theory **88** (2018), no. 2, 356–370.  
<https://doi.org/10.1002/jgt.22217>.
- [3] D. Favaron, O. and. Kratsch, *Ratios of domination parameters*, Advances in Graph Theory, Vishwa International Publications, 1991, pp. 173–182.
- [4] Z. Gao, R. Lang, C. Xi, and J. Yue, *3-component domination numbers in graphs*, Discrete Math. **347** (2024), no. 4, 113859.  
<https://doi.org/10.1016/j.disc.2023.113859>.

- [5] ———, *On 3-component domination numbers in graphs*, Discrete Appl. Math. **366** (2025), 53–62.  
<https://doi.org/10.1016/j.dam.2025.01.016>.
- [6] T.W. Haynes, S.T. Hedetniemi, and M.A. Henning, *Topics in Domination in Graphs*, vol. 64, Springer Cham, 2020.
- [7] ———, *Structures of Domination in Graphs*, vol. 66, Springer Cham, 2021.
- [8] ———, *Domination in Graphs: Core Concepts*, Springer Cham, 2023.
- [9] T.W. Haynes and M.A. Henning, *Connected domination versus dominating sets inducing large components*, Discrete Math. (2025), In press.
- [10] M.A. Henning and A. Yeo, *Total Domination in Graphs*, Springer New York, NY, 2013.
- [11] W. Yang and B. Wu, *Dominating sets inducing large component in graphs with minimum degree two*, Graphs Combin. **39** (2023), no. 5, Article number: 99.  
<https://doi.org/10.1007/s00373-023-02687-z>.
- [12] ———, *Proof of a conjecture on dominating sets inducing large component in graphs with minimum degree two*, Discrete Math. **347** (2024), no. 10, 114122.  
<https://doi.org/10.1016/j.disc.2024.114122>.