

## On graphs having proper $(1, k)$ -dominating sets

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**Abstract:** For an integer  $k \geq 1$ , a  $(1, k)$ -dominating set (or  $(1, k)$ -dset for short) of a graph  $G = (V, E)$  is a set  $S$  of vertices having the property that for every vertex  $v \in V - S$ , there is at least one vertex in  $S$  within distance 1 from  $v$  and a second vertex in  $S$  within distance at most  $k$  from  $v$ . For  $k \geq 2$ , a  $(1, k)$ -dset  $D$  of a graph  $G$  is a proper  $(1, k)$ -dset if is not a  $(1, k - 1)$ -dset. More precisely,  $D$  is a proper  $(1, k)$ -dset (or  $(1, \bar{k})$ -dset for short) if it is  $(1, k)$ -dset and there is at least a vertex  $u \in V - D$  for which there are two distinct vertices  $t$  and  $z$  in  $D$  such that  $t$  is adjacent to  $u, z$  and  $u$  are at distance exactly  $k$  apart from each other and no vertex in  $D - \{t\}$  is at distance less than  $k$  from  $u$ . The  $(1, k)$ -domination number  $\gamma_{1,k}(G)$  (resp.  $(1, \bar{k})$ -domination number  $\gamma_{1,\bar{k}}(G)$ ) of  $G$  is the minimum cardinality among all  $(1, k)$ -dsets (resp.  $(1, \bar{k})$ -dsets) of  $G$ . In this paper, we are interested in the study of  $(1, k)$ -dsets as well as their existence. We start by giving a necessary and sufficient condition for graphs having  $(1, \bar{k})$ -dsets for  $k \in \{3, 4\}$ . Then we consider triangle-free graphs  $G$  with equal  $\gamma_{1,k}(G)$  and  $\gamma_{1,\bar{k}}(G)$  where characterizations are given when  $k \in \{3, 4\}$ . Finally, we show that the decision problems associated with  $(1, 3)$ -domination,  $(1, \bar{3})$ -domination and  $(1, 4)$ -domination are  $\mathcal{NP}$ -complete for bipartite graphs.

**Keywords:**  $(1, k)$ -domination,  $(1, \bar{k})$ -domination.

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### 1. Introduction

Let  $G$  be a simple graph with vertex set  $V(G) = V$  and edge set  $E(G) = E$ . The *open neighborhood* of a vertex  $v \in V$  is the set  $N_G(v) = \{u \in V : uv \in E\}$  and the

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*closed neighborhood* is the set  $N_G[v] = N_G(v) \cup \{v\}$ . The *degree* of a vertex  $v$  of  $G$  is  $d_G(v) = |N_G(v)|$ . An *isolated vertex* is a vertex with degree zero. A vertex of degree one is called a *leaf* and its neighbor is called a *support vertex*. A *universal vertex* in  $G$  is a vertex that is adjacent to all the other vertices in  $G$ . The *distance*  $d_G(u, v)$  between two vertices  $u$  and  $v$  in a connected graph  $G$  is the length of a shortest  $(u, v)$ -path in  $G$  while the *diameter*,  $\text{diam}(G)$ , of  $G$  is the maximum distance among all pairs of vertices in  $G$ . The set of vertices that are within distance  $k$  from a vertex  $v \in V$  is denoted by  $N_G^k[v]$ , that is  $N_G^k[v] = \{x \in V : d_G(v, x) \leq k\}$ . For any integer  $i = 0, 1, \dots, k$ , we define  $A_G^i(v)$  as the set of all vertices that are at distance exactly  $i$  from  $v$ , that is  $A_G^i(v) = \{x \in V : d_G(v, x) = i\}$ . Hence  $N_G^k[v] = \bigcup_{i=0}^k A_G^i(v)$ , where  $A_G^0(v) = \{v\}$ . For a set  $X \subseteq V(G)$ , we denote the subgraph induced by  $X$  by  $G[X]$ . As usual, the *path* (*cycle*, *complete graph*, *star*, respectively) of order  $n$  is denoted by  $P_n$  ( $C_n$ ,  $K_n$ ,  $K_{1, n-1}$ , respectively). Let  $H$  be a graph. A graph  $G$  is said to be  $H$ -free if it has no induced subgraph isomorphic to  $H$ . In particular, a  $K_3$ -free graph will be simply called a *triangle-free* graph. A *tree* is a connected graph with no cycle. A tree  $T$  is a *double star*, if it contains exactly two vertices that are not leaves. A double star with respectively  $p$  and  $q$  leaves attached at each support is denoted  $S_{p, q}$ . Also a graph is *bipartite* if its vertex set can be partitioned into two independent sets.

A subset  $D$  of  $V$  is a *dominating set* of  $G$  if every vertex is either in  $D$  or has a neighbor in  $D$ . The cardinality of a minimum dominating set of  $G$  is the *domination number* of  $G$ , denoted by  $\gamma(G)$ .

In [7], Hedetniemi et al. generalized the concept of domination to  $(1, k)$ -domination, by defining a subset  $D \subseteq V$  in a graph  $G = (V, E)$  to be a  $(1, k)$ -*dominating set* (abbreviated,  $(1, k)$ -dset) if for every vertex  $v \in V - D$  there are two distinct vertices  $u, w \in D$  such that  $u$  is adjacent to  $v$ , and  $w$  is within distance  $k$  from  $v$ , that is  $d_G(v, w) \leq k$ . In this case the vertex  $v$  is said to be  $(1, k)$ -dominated by  $D$ . The  $(1, k)$ -*domination number*  $\gamma_{1, k}(G)$  of  $G$  is the minimum cardinality of a  $(1, k)$ -dominating set in  $G$ . A  $(1, k)$ -dset of cardinality  $\gamma_{1, k}(G)$  is called  $\gamma_{1, k}(G)$ -set. It is worth noting that for  $k = 1$ ,  $\gamma_{1, k}(G)$  is the 2-domination number  $\gamma_2(G)$  introduced by Fink and Jacobson [6].

It is essential to note that the study of  $(1, k)$ -dssets in their general way remains open and only particular cases have been considered. Indeed, for  $k = 2$ ,  $(1, k)$ -dssets were studied by Hedetniemi et al. in [7], and also in [10, 12, 13]. Moreover, the independent version of  $(1, k)$ -dssets have been considered in [7] for  $k = 2$ .

Hedetniemi et al. [7] presented the following general result about arbitrary dominating sets in connected graphs.

**Theorem 1 ([7]).** *Every dominating set in a connected graph  $G$  with  $\gamma(G) \geq 2$  is a  $(1, 4)$ -dset.*

The following observation is obvious.

**Observation 2.** Let  $G$  be a connected graph with  $\gamma(G) \geq 2$ , and let  $k$  be an integer with  $1 \leq k \leq 4$ . Then every  $(1, j)$ -dominating set is a  $(1, k)$ -dominating set for  $j \leq k$ , and thus

$$\gamma(G) = \gamma_{1,4}(G) \leq \gamma_{1,3}(G) \leq \gamma_{1,2}(G) \leq \gamma_{1,1}(G) = \gamma_2(G) \tag{1.1}$$

Later, in [9], Michalski introduced the concept of proper  $(1, 2)$ -dominating sets. A  $(1, 2)$ -dominating set  $D$  is a *proper  $(1, 2)$ -dominating set* (or simply  $(1, \bar{2})$ -dset) of a graph  $G$  if  $D$  is a  $(1, 2)$ -dset but it is not a  $(1, 1)$ -dset. In other words,  $D$  is a proper  $(1, 2)$ -dominating set if it is  $(1, 2)$ -dominating and there exists a vertex  $x \in V - D$  such that  $x$  has exactly one adjacent vertex in  $D$ . The cardinality of a minimum  $(1, \bar{2})$ -dset in a graph  $G$  is the *proper  $(1, 2)$ -domination number*  $\gamma_{1,\bar{2}}(G)$  of  $G$ .

In [11], Michalski et al. studied some properties of  $(1, \bar{2})$ -dsets. In particular a necessary and sufficient condition for the existence of such sets in a connected graph was given. In [8], Kosiorowska et al. studied intersections of the  $(1, 1)$ -sets and  $(1, \bar{2})$ -dsets, where they introduced the  $(1, \bar{2})$ -intersection index defined as the minimum possible cardinality of such intersections. The authors [8] determined the exact value of  $(1, \bar{2})$ -intersection index for some classes of graphs.

We generalize the concept of proper  $(1, 2)$ -dominating sets to proper  $(1, k)$ -dominating sets. For  $k \geq 2$ , a  $(1, k)$ -dset  $D$  of a graph  $G$  is a *proper  $(1, k)$ -dset* if is not a  $(1, k - 1)$ -dset. In other words,  $D$  is a proper  $(1, k)$ -dset, abbreviated  $(1, \bar{k})$ -dset, if it is  $(1, k)$ -dset and there is at least a vertex  $u \in V - D$  for which there are two distinct vertices  $t$  and  $z$  in  $D$  such that  $t$  is adjacent to  $u$ ,  $d_G(u, z) = k$  and no vertex in  $D - \{t\}$  is at distance less than  $k$  from  $u$ . In this case the vertex  $u$  is said to be  $(1, \bar{k})$ -dominated by  $D$ . The cardinality of a minimum  $(1, \bar{k})$ -dset in a graph  $G$  is the *proper  $(1, k)$ -domination number*  $\gamma_{1,\bar{k}}(G)$  of  $G$ . A  $(1, \bar{k})$ -dset of cardinality  $\gamma_{1,\bar{k}}(G)$  is called  $\gamma_{1,\bar{k}}(G)$ -set. Since every  $(1, \bar{k})$ -dset of  $G$  is a  $(1, k)$ -dset of  $G$ , we have  $\gamma_{1,k}(G) \leq \gamma_{1,\bar{k}}(G)$ . Moreover, by Theorem 1, the following result is provided.

**Corollary 1.** *If  $G$  is a connected graph having a  $(1, \bar{k})$ -dset, then  $k \leq 4$ .*

From this Corollary, we deduce that a proper  $(1, k)$ -dominating set does not exist, for  $k \geq 5$ .

It is worth mentioning that an inequality chain similar to (1.1) cannot apply to  $\gamma_{1,\bar{k}}(G)$ , as can be seen by considering the tree  $S_{p,q}^*$  obtained from a double star  $S_{p,q}$ , with  $p \leq q$ , by subdividing once the edge joining the support vertices of  $S_{p,q}$ . One can see that  $\gamma_{1,\bar{4}}(S_{p,q}^*) = p + 1$ ,  $\gamma_{1,\bar{3}}(S_{p,q}^*) = 2$  and  $\gamma_{1,\bar{2}}(S_{p,q}^*) = 3$ .

In this paper, we are interested in the study and the existence of  $(1, \bar{k})$ -dsets. We start by giving a characterization of connected graphs having such sets for  $k \in \{3, 4\}$ . Then we study connected triangle-free graphs  $G$  with  $\gamma_{1,k}(G) = \gamma_{1,\bar{k}}(G)$  for  $k \in \{3, 4\}$ . Finally, we show that decision problem corresponding to the problem of computing  $\gamma_{1,k}(G)$  and  $\gamma_{1,\bar{k}}(G)$  are  $\mathcal{NP}$ -complete even when restricted to bipartite graphs.

## 2. Graphs having $(1, \bar{k})$ -dsets

First, let us recall a result of Michalski et al. [11] providing a characterization of connected graphs having a  $(1, \bar{2})$ -dset.

**Theorem 3 ([11]).** *A connected graph  $G$  has a  $(1, \bar{2})$ -dset if and only if  $G$  is not a complete graph.*

In what follows we investigate the cases  $k = 3$  and  $k = 4$  for connected graphs.

**Theorem 4.** *Let  $G$  be a connected graph. Then  $G$  has a  $(1, \bar{3})$ -dset if and only if  $\text{diam}(G) \geq 3$  and there exist two adjacent vertices  $t$  and  $u$  such that  $N_G(u) \subset N_G[t]$  and for all  $y \in A_G^2(u)$  we have  $N_G(y) \cap A_G^3(u) = \emptyset$ ,  $y \in N_G(t)$ .*

*Proof.* Assume that  $G$  has a  $(1, \bar{3})$ -dset  $D$ . By the definition of a  $(1, \bar{3})$ -dset,  $D$  is a  $(1, 3)$ -set of  $G$  for which there exist a vertex  $u \in V - D$  and two vertices  $t$  and  $z$  in  $D$  such that  $d_G(u, t) = 1$ ,  $d_G(u, z) = 3$  and no vertex  $y \in D - \{t\}$  satisfies  $d_G(u, y) \leq 2$ . Clearly  $\text{diam}(G) \geq 3$  and the vertices  $u$  and  $t$  are adjacent. Moreover, since  $D$  is a dominating set, every neighbor  $y$  of  $u$  must be adjacent to  $t$ , for otherwise some neighbor of  $y$  in  $D$  will be at distance 2 from  $u$ , contradicting the definition of  $(1, 3)$ -sets. Hence  $N_G(u) \subset N_G[t]$ . Now let  $y \in A_G^2(u)$  and  $N_G(y) \cap A_G^3(u) = \emptyset$ . Since  $D$  is a dominating set of  $G$  and there is no vertex  $y \in D - \{t\}$  such that  $d_G(u, y) = 2$ ,  $y \in N_G(t)$ .

Conversely, assume that  $\text{diam}(G) \geq 3$ , and there exist two adjacent vertices  $t$  and  $u$  such that  $N_G(u) \subset N_G[t]$  where for every  $y \in A_G^2(u)$  such that  $N_G(y) \cap A_G^3(u) = \emptyset$ ,  $y \in N_G(t)$ . Then  $A_G^3(u) \neq \emptyset$ , otherwise  $t$  is a universal vertex; meaning that  $\text{diam}(G) \leq 2$  or  $G$  is not connected, a contradiction. Now let  $u-y_1-y_2-z$  be a shortest path between  $u$  and  $z$  such that  $y_1 \in A_G^1(u)$ ,  $y_2 \in A_G^2(u)$  and  $z \in A_G^3(u)$ . We shall show that either  $D = (V - N_G^2[u]) \cup \{t\}$  or  $D' = D \cup \{y_2\}$ , is a  $(1, \bar{3})$ -dset of  $G$ . Note that  $A_G^3(u) \subset D$ . We distinguish between three cases.

**Case 1.** Every vertex in  $A_G^1(u) - \{t\}$  has a neighbor in  $A_G^2(u)$  and every vertex in  $A_G^2(u)$  has a neighbor in  $A_G^3(u)$ . Then  $u$  is adjacent to  $t$  and since  $z \in A_G^3(u)$ ,  $u$  is  $(1, \bar{3})$ -dominated by  $D$ . Moreover, since  $N_G(u) \subset N_G[t]$ , every vertex  $y$  in  $A_G^1(u) - \{t\}$  is adjacent to  $t$  and there exists  $z' \in A_G^3(u)$  such that  $d_G(y, z') \leq 2$ . So,  $y$  is  $(1, 3)$ -dominated by  $D$ . Also, since  $N_G^2[u] - \{t\} \subset V - D$  and  $A_G^3(u) \subset D$ , every vertex  $y'$  in  $A_G^2(u) - \{t\}$  has a neighbor in  $A_G^3(u)$  and since  $d_G(y', t) \leq 2$ ,  $y'$  is  $(1, 3)$ -dominated by  $D$ . Hence  $D$  is a  $(1, \bar{3})$ -dset of  $G$ .

**Case 2.** There exists a vertex  $y \in A_G^2(u)$  such that  $N_G(y) \cap A_G^3(u) = \emptyset$ ,  $y \in N_G(t)$  and  $y$  is not  $(1, 3)$ -dominated by  $D$ . Then  $yy_1, yy_2, y_2t \notin E$ . Moreover  $y'y_2 \notin E$  for every vertex  $y' \in A_G^1(u) \cap N_G(y)$ . We will show that  $D' = D \cup \{y_2\}$  is a  $(1, \bar{3})$ -dset of  $G$ . Clearly  $y \in N_G(t)$  and the path  $y-t-y_1-y_2$  is a shortest path between  $y$  and  $y_2$ . Hence  $y$  is  $(1, \bar{3})$ -dominated by  $D'$ . Thus, it remains to show that every vertex  $x$  of  $(V - D') - \{u\}$  is  $(1, 3)$ -dominated by  $D'$ . Note that  $N_G[y] \subset N_G^2(u)$ . If

$x \in A_G^1(u) - \{t\}$ , then  $x$  is adjacent to  $t$ , because  $N_G(u) \subset N_G[t]$ , and it is easy to see that  $d_G(x, y_2) \leq 3$ . Now assume that  $x \in A_G^2(u)$ . Then either  $x$  has no neighbor in  $A_G^3(u)$ , and thus  $x \in N_G(t)$  and  $d_G(x, y_2) \leq 3$ , or  $x$  has a neighbor in  $A_G^3(u)$ , and thus  $x$  has a neighbor in  $A_G^3(u)$  and  $d_G(x, t) \leq 2$ . In both cases,  $x$  is  $(1, 3)$ -dominated by  $D'$ .

**Case 3.** There exists a vertex  $y \in A_G^1(u) - \{t\}$  such that  $y$  has no neighbor in  $A_G^2(u)$  and  $y$  is not  $(1, 3)$ -dominated by  $D$ . Then  $yy_1, yy_2, y_2t \notin E$ . In this case, the same argument applied in Case 2 shows that  $D' = D \cup \{y_2\}$  is a  $(1, \bar{3})$ -dset of  $G$ , and this completes the proof.  $\square$

**Theorem 5.** *Let  $G$  be a connected graph. Then  $G$  has a  $(1, \bar{4})$ -dset if and only if  $\text{diam}(G) \geq 4$ , there exist two adjacent vertices  $t$  and  $u$  such that  $N_G^2(u) \subset N_G[t]$  and for all  $y \in A_G^3(u)$ ,  $N_G(y) \cap A_G^4(u) \neq \emptyset$ .*

*Proof.* Assume that  $G$  contains a  $(1, \bar{4})$ -dset, say  $D$ . By the definition of a  $(1, \bar{4})$ -dset,  $D$  is a  $(1, 4)$ -dset of  $G$ , there exists a vertex  $u \in V - D$  and there exist two distinct vertices  $t$  and  $z \in D$  such that  $N_G(u) \cap D = \{t\}$ ,  $d_G(u, z) = 4$  and there is no vertex  $z' \in D - \{t\}$  such that  $d_G(u, z') \leq 3$ . Therefore,  $\text{diam}(G) \geq 4$ ,  $u$  and  $t$  are adjacent and  $N_G[y] \cap (D - \{t\}) = \emptyset$ , for all  $y \in N_G^2[u] - \{t\}$ . Moreover, since  $D$  is a dominating set of  $G$ ,  $N_G[y] \cap D = \{t\}$ , for all  $y \in N_G^2[u] - \{t\}$ . Hence  $N_G^2(u) \subset N_G[t]$ . Now let  $y \in A_G^3(u)$ . Clearly  $y \in V - D$ , and  $y$  is not adjacent to  $t$ , for otherwise  $y \in A_G^2(u)$ . Since  $D$  is a dominating set of  $G$ ,  $N_G(y) \cap D \neq \emptyset$ , and thus we have  $N_G(y) \cap A_G^4(u) \neq \emptyset$  for all  $y \in A_G^3(u)$ .

Conversely, assume that  $\text{diam}(G) \geq 4$ , and there exist two adjacent vertices  $t$  and  $u$  such that  $N_G^2(u) \subset N_G[t]$ , where for every  $y \in A_G^3(u)$ ,  $N_G(y) \cap A_G^4(u) \neq \emptyset$ . Then  $A_G^4(u) \neq \emptyset$ , otherwise  $t$  is a universal vertex; meaning that  $\text{diam}(G) \leq 2$  or  $G$  is not connected, a contradiction. We shall show that the set  $D = (V - N_G^3[u]) \cup \{t\}$  is a  $(1, \bar{4})$ -dset of  $G$ . First we prove that  $u$  is  $(1, \bar{4})$ -dominated by  $D$ . Clearly  $u$  is adjacent to  $t$ , and since  $A_G^4(u) \subset D$  and  $N_G(y) \cap A_G^4(u) \neq \emptyset$  for every  $y \in A_G^3(u)$ ,  $N_G(y) \cap A_G^4(u) \subset D$ . Hence,  $u$  is  $(1, \bar{4})$ -dominated by  $D$ . Now, it remains to show that every vertex  $y$  of  $N_G^3(u) - \{t\}$  is  $(1, 4)$ -dominated by  $D$ . Observe that  $N_G^3(u) - \{t\} = (N_G^2(u) - \{t\}) \cup A_G^3(u)$ . Now, let  $u-y_1-y_2-y_3-z$  be a shortest path such that  $y_i \in A_G^i(u)$  for  $i = 1, 2, 3$  and  $z \in A_G^4(u)$ . Since  $N_G^2(u) \subset N_G[t]$ ,  $y_i \in N_G(t)$  for  $i = 1, 2$ . Moreover  $y_3 \notin N_G(t)$ , for otherwise  $y_3 \in A_G^2(u)$ . Now, if  $y \in N_G^2(u) - \{t\}$ , then obviously  $N_G(y) \cap D = \{t\}$ . Moreover, we have the path  $y-t-y_2-y_3-z$  with  $z \in D$  and  $yz \notin E$ . Hence  $2 \leq d_G(y, z) \leq 4$ , which means that  $y$  is  $(1, 4)$ -dominated by  $D$ . Finally, assume that  $y \in A_G^3(u)$ . Then  $N_G(y) \cap A_G^4(u) \neq \emptyset$  and  $d_G(y, t) = 2$ . Therefore there exists a vertex  $w \in A_G^4(u) \cap D$  such that  $yw \in E$ . Hence  $y$  is  $(1, 4)$ -dominated by  $D$ , and this completes the proof.  $\square$

Since a cycle  $C_n$  of order  $n$  has no vertices  $t$  and  $u$  satisfying conditions in Theorems 4 and 5, we deduce the following result.

**Corollary 2.** *Let  $C_n$  be a cycle of order  $n$ . Then  $C_n$  has neither a  $(1, \bar{3})$ -dset nor a  $(1, \bar{4})$ -dset.*

Restricted to the class of triangle-free graphs, we have the following necessary condition for the existence of  $(1, \bar{k})$ -dsets for  $k \in \{3, 4\}$ .

**Proposition 1.** *Let  $k \in \{3, 4\}$  and let  $G$  be a connected triangle-free graph with  $\text{diam}(G) \geq k$ . If  $G$  has a  $(1, \bar{k})$ -dset  $D$ , then every vertex which is  $(1, \bar{k})$ -dominated by  $D$  is a leaf.*

*Proof.* Assume that  $G$  has a  $(1, \bar{k})$ -dset  $D$ , and let  $u$  be a vertex of  $V - D$  which is  $(1, \bar{k})$ -dominated by  $D$ . Then there exist two distinct vertices  $t, z \in D$  such that  $N_G(u) \cap D = \{t\}$ ,  $d_G(u, z) = k$  and no vertex  $y \in D - \{t\}$  is at distance at most  $k - 1$  from  $u$ . Thus, if  $u$  is not a leaf, then  $u$  has another neighbor  $v$  which belongs to  $V - D$ . Since  $D$  is a dominating set and  $d_G(u, y) \geq k$  for every vertex  $y \in D - \{t\}$ ,  $v$  has to be adjacent to  $t$ . Hence  $\{u, t, v\}$  induces a triangle, a contradiction.  $\square$

The following corollaries are easily deduced from Proposition 1.

**Corollary 3.** *Let  $k \in \{3, 4\}$  and let  $G$  be a connected bipartite graph with  $\text{diam}(G) \geq k$ . If  $G$  has a  $(1, \bar{k})$ -dset  $D$ , then every vertex that is  $(1, \bar{k})$ -dominated by  $D$  is a leaf.*

**Corollary 4.** *Let  $k \in \{3, 4\}$  and let  $T$  be a tree with  $\text{diam}(T) \geq k$ . If  $T$  has a  $(1, \bar{k})$ -dset  $D$ , then every vertex that is  $(1, \bar{k})$ -dominated by  $D$  is a leaf.*

**Corollary 5.** *If  $G$  is a connected triangle-free graph and without leaf, then  $G$  has neither a  $(1, \bar{3})$ -dset nor a  $(1, \bar{4})$ -dset.*

Therefore, it is interesting to study triangle-free graphs  $G$  with minimum degree one having  $(1, \bar{k})$ -dsets for  $k \in \{3, 4\}$ . For this purpose, we begin by providing a necessary and sufficient condition for the existence of  $(1, \bar{3})$ -dsets, for connected triangle-free graph with  $\text{diam}(G) \geq 3$ .

**Theorem 6.** *Let  $G$  be a connected triangle-free graph with  $\text{diam}(G) \geq 3$ . Then  $G$  has a  $(1, \bar{3})$ -dset if and only if  $G$  contains a leaf.*

*Proof.* The necessary condition follows from Proposition 1. For the sufficient condition, let  $u$  be a leaf of  $G$  and let  $t$  its support vertex. Since  $\text{diam}(G) \geq 3$ ,  $u$  and  $t$  satisfy the condition of Theorem 4. Hence  $G$  has a  $(1, \bar{3})$ -dset.  $\square$

**Corollary 6.** *Let  $G$  be a bipartite graph with  $\text{diam}(G) \geq 3$ . Then  $G$  has a  $(1, \bar{3})$ -dset if and only if  $G$  contains a leaf.*

**Corollary 7.** *If  $T$  is a tree with  $\text{diam}(T) \geq 3$ , then  $T$  has a  $(1, \bar{3})$ -dset.*

It is worth noting that a connected triangle-free graph  $G$  with  $\text{diam}(G) \geq 4$  containing a leaf may not have a  $(1, \bar{4})$ -dset. This can be seen by considering the tree  $T$  obtained from two copies of  $P_4$  by adding a new vertex attached to exactly one support vertex of each copy of  $P_4$ . It is easy to see that  $T$  does not have a  $(1, \bar{4})$ -dset.

The next result provides a necessary and sufficient condition for the existence of  $(1, \bar{4})$ -dsets, for trees  $T$  with  $\text{diam}(T) \geq 4$ .

**Theorem 7.** *Let  $T$  be a tree with  $\text{diam}(T) \geq 4$ . Then  $T$  has a  $(1, \bar{4})$ -dset if and only if  $T$  contains a leaf  $u$  such that  $d_T(u, y) \neq 3$  for every leaf  $y$  of  $T$ .*

*Proof.* Assume that  $T$  has a  $(1, \bar{4})$ -dset. Suppose, for a contradiction, that for every leaf  $u$  there exists a leaf  $y$  such that  $d_T(u, y) = 3$ . If  $t$  denotes the support vertex adjacent to  $u$ , then  $u$  and  $t$  do not satisfy the condition of Theorem 5, since  $y \in A_T^3(u)$ ,  $N_T(y) \cap A_T^4(u) = \emptyset$  and  $y \notin N_T(t)$ , a contradiction.

Conversely, assume that  $T$  contains a leaf  $u$  such that  $d_T(u, y) \neq 3$  for every leaf  $y$  of  $T$ , and let  $t$  be the support vertex of  $u$ . Clearly  $N_T^2(u) \subset N_T[t]$  and for all  $y \in A_T^3(u)$ ,  $N_T(y) \cap A_T^4(u) \neq \emptyset$ , which means that  $u$  and  $t$  satisfy the condition of Theorem 5. Hence  $T$  has a  $(1, \bar{4})$ -dset. □

As an example of graph verifying the conditions of Theorem 6 (Theorem 7, respectively), we consider the tree  $T$  obtained from the star  $K_{1,p}$  where  $p \geq 2$ , by subdividing all its edges once. Then  $T$  has a  $(1, \bar{3})$ -dset (resp., a  $(1, \bar{4})$ -dset). Even though any  $(1, \bar{3})$ -dset is different from every  $(1, \bar{4})$ -dset, we still have equality  $\gamma_{1, \bar{3}}(T) = \gamma_{1, \bar{4}}(T) = p$ .

### 3. Graphs $G$ with $\gamma_{1,k}(G) = \gamma_{1,\bar{k}}(G)$

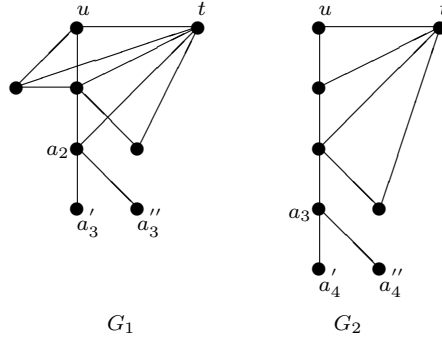
In this section we consider relationships between the proper $(1, k)$ -domination and  $(1, k)$ -domination numbers of a graph  $G$ . As mentioned above,  $\gamma_{1,k}(G) \leq \gamma_{1,\bar{k}}(G)$  for every connected graph with  $\text{diam}(G) \geq k$ . In [11], Michalski et al. showed that for  $k = 2$  the equality holds in the previous inequality for any connected noncomplete graph.

**Theorem 8** ([11]). *For an arbitrary connected noncomplete graph  $G$ , we have*

$$\gamma_{1,\bar{2}}(G) = \gamma_{1,2}(G).$$

However, for  $k = \{3, 4\}$ , inequality  $\gamma_{1,k}(G) \leq \gamma_{1,\bar{k}}(G)$  can be strict, as can be seen by the graphs in Figure 1. Indeed, for the graph  $G_1$ , the set  $\{t, a_2\}$  is a  $\gamma_{1,3}$ -set which is

not a  $\gamma_{1,\bar{3}}$ -set, while the set  $\{t, a'_3, a''_3\}$  is a  $\gamma_{1,\bar{3}}$ -set. Also, for the graph  $G_2$ , the set  $\{t, a_3\}$  is a  $\gamma_{1,4}$ -set which is not a  $\gamma_{1,\bar{4}}$ -set, while the set  $\{t, a'_4, a''_4\}$  is a  $\gamma_{1,\bar{4}}$ -set.



**Figure 1.** Graphs with  $\gamma_{1,3}(G_1) < \gamma_{1,\bar{3}}(G_1)$  and  $\gamma_{1,4}(G_2) < \gamma_{1,\bar{4}}(G_2)$ .

As we have seen, by Corollary 5, connected triangle-free graphs with no leaf have no  $\gamma_{1,\bar{k}}$ -set for  $k \in \{3, 4\}$ . Accordingly, it is interesting to study equality between the proper  $(1, k)$ -domination and  $(1, k)$ -domination numbers for connected triangle-free graphs having a leaf. For this purpose, we begin by paths to show that  $\gamma_{1,k}(P_n) = \gamma_{1,\bar{k}}(P_n)$  for  $k \in \{3, 4\}$ . It is well-known that  $\gamma(P_n) = \lceil \frac{n}{3} \rceil$ . On the other hand, Raczek [13] proved the following result, for  $\gamma_{1,2}(P_n)$ .

**Theorem 9 ([13]).** For any integer  $n \geq 2$ , we have  $\gamma_{1,2}(P_n) = \lceil \frac{n+2}{3} \rceil$ .

The next result provides the exact value of the  $(1, 3)$ -domination number for paths.

**Theorem 10.** For any integer  $n \geq 2$ , we have  $\gamma_{1,3}(P_n) = \lceil \frac{n+2}{3} \rceil$ .

*Proof.* Let  $P_n$  be a path of order  $n \geq 2$ , with vertices labeled in order  $x_1, x_2, \dots, x_n$ . Since the result is obvious for  $n = 2$ , let  $n \geq 3$  and consider the following cases.

**Case 1.**  $n = 3p$  for some integer  $p \geq 1$ . It is easy to see that the only minimum dominating set is  $D = \{x_2, x_5, \dots, x_{3p-1}\}$ . But  $D$  is not  $(1, 3)$ -dset, since  $x_1$  is not  $(1, 3)$ -dominated by  $D$ . It means that  $\gamma_{1,3}(P_n) > \gamma(P_n) = \frac{n}{3} = p$ . Moreover, the set  $D_1 = \{x_1, x_4, \dots, x_{3p-5}\} \cup \{x_{3p-3}, x_{3p-1}\}$  is a  $(1, 3)$ -dset of cardinality  $p + 1 = \frac{n+3}{3}$ . Hence  $\gamma_{1,3}(P_n) = \frac{n+3}{3} = \lceil \frac{n+2}{3} \rceil$ .

**Case 2.**  $n = 3p + 1$  for some integer  $p \geq 1$ . It is easy to see that the set  $D_2 = \{x_1, x_4, \dots, x_{3p-2}\} \cup \{x_{3p}\}$  is a  $(1, 3)$ -dset of cardinality  $p + 1 = \frac{n+2}{3}$ . Since  $\gamma_{1,3}(P_n) \geq \gamma(P_n) = \frac{n+2}{3}$ , we have  $\gamma_{1,3}(P_n) = \frac{n+2}{3} = \lceil \frac{n+2}{3} \rceil$ .

**Case 3.**  $n = 3p + 2$  for some integer  $p \geq 1$ . It is easy to check that every minimum dominating set of  $P_n$  is not a  $(1, 3)$ -dset leading to  $\gamma_{1,3}(P_n) > \gamma(P_n) = \frac{n+1}{3} = p + 1$ .

Moreover, the set  $D_3 = \{x_1, x_4, \dots, x_{3p+1}\} \cup \{x_{3p-1}\}$  is a  $(1, 3)$ -dset of cardinality  $p + 2$ . Hence  $\gamma_{1,3}(P_n) = \frac{n+4}{3} = \lceil \frac{n+2}{3} \rceil$ .  $\square$

Now, we are ready to show that  $\gamma_{1,k}(P_n) = \gamma_{1,\bar{k}}(P_n)$  for  $k \in \{3, 4\}$ .

**Proposition 2.** *For any integer  $n \geq 4$ , we have  $\gamma_{1,3}(P_n) = \gamma_{1,\bar{3}}(P_n)$ .*

*Proof.* Let  $P_n$  be a path of order  $n \geq 4$ . Since  $\gamma_{1,3}(P_n) \leq \gamma_{1,\bar{3}}(P_n)$ , it is enough to show that  $P_n$  contains a  $\gamma_{1,3}$ -set which is a  $(1, \bar{3})$ -dset. For that, it is easy to see, according to the values of  $n$ , that the sets  $D_1, D_2$  and  $D_3$  given in the proof of Theorem 10 are also  $(1, \bar{3})$ -dsets of  $P_n$ , which completes the proof.  $\square$

**Proposition 3.** *For any integer  $n \geq 5$ , we have  $\gamma_{1,4}(P_n) = \gamma_{1,\bar{4}}(P_n)$ .*

*Proof.* Let  $P_n$  be a path of order  $n \geq 5$ . Since  $\gamma(G) = \gamma_{1,4}(P_n) \leq \gamma_{1,\bar{4}}(P_n)$ , it is enough to show that  $P_n$  contains a  $\gamma$ -set which is a  $(1, \bar{4})$ -dset. It is easy to see that  $D_1 = \{x_2, x_5, \dots, x_{3p-1}\}$ ,  $D_2 = \{x_2, x_5, \dots, x_{3p-1}\} \cup \{x_{3p+1}\}$  and  $D_3 = \{x_2, x_5, \dots, x_{3p+2}\}$  are minimum dominating sets of  $P_n$  for  $n = 3p$ ,  $n = 3p + 1$  and  $n = 3p + 2$ , respectively. Moreover, one can see that  $x_1$  is  $(1, \bar{4})$ -dominated by each of these three sets. Therefore,  $D_i$  is a  $(1, \bar{4})$ -dset of  $P_n$  for each  $i \in \{1, 2, 3\}$ , leading to the desired equality.  $\square$

From Theorems 9, 10, and Propositions 2 and 3, we deduce the following.

**Corollary 8.** *For any integer  $n \geq 5$ , we have:*

1.  $\gamma_{1,\bar{3}}(P_n) = \gamma_{1,3}(P_n) = \gamma_{1,2}(P_n) = \lceil \frac{n+2}{3} \rceil$ .
2.  $\gamma_{1,\bar{4}}(P_n) = \gamma_{1,4}(P_n) = \gamma(P_n) = \lceil \frac{n}{3} \rceil$ .
3.  $\gamma_{1,\bar{3}}(P_n) = \gamma_{1,\bar{4}}(P_n)$  if and only if  $n \equiv 1 \pmod{3}$ .

Restricted to the class of trees  $T$ , the equality  $\gamma_{1,k}(T) = \gamma_{1,\bar{k}}(T)$  is possible, and can be seen by considering the trees  $S_{p,q}^*$  and  $S_{p,q}^{**}$  both obtained from a double star  $S_{p,q}$  by subdividing the edge joining the support vertices once and twice, respectively. Then  $\gamma_{1,3}(S_{p,q}^*) = \gamma_{1,\bar{3}}(S_{p,q}^*) = 2$  and  $\gamma_{1,4}(S_{p,q}^{**}) = \gamma_{1,\bar{4}}(S_{p,q}^{**}) = 2$ . However, the difference  $\gamma_{1,\bar{k}}(T) - \gamma_{1,k}(T)$  can be arbitrary large as can be seen by  $S_{p,q}$  and  $S_{p,q}^*$ . Indeed,  $\gamma_{1,3}(S_{p,q}) = \gamma_{1,4}(S_{p,q}^*) = 2$ , while  $\gamma_{1,\bar{3}}(S_{p,q}) = \gamma_{1,\bar{4}}(S_{p,q}^*) = p + 1$ .

The next result provides a necessary and sufficient condition for connected triangle-free graphs  $G$  such that  $\gamma_{1,k}(G) = \gamma_{1,\bar{k}}(G)$  for  $k \in \{3, 4\}$ .

**Theorem 11.** *Let  $G$  be a connected triangle-free graph with  $\text{diam}(G) \geq 3$ . Then  $\gamma_{1,3}(G) = \gamma_{1,\bar{3}}(G)$  if and only if  $G$  has a  $\gamma_{1,3}$ -set  $D$  containing a support vertex which is isolated in  $G[D]$ .*

*Proof.* Assume that  $\gamma_{1,3}(G) = \gamma_{1,\overline{3}}(G)$ . Then  $G$  has a  $(1, 3)$ -dset  $D$  which is also a  $(1, \overline{3})$ -dset. By Proposition 1 there is a leaf  $u \notin D$  whose support vertex, say  $t$ , belongs to  $D$  and  $d_G(u, z) = 3$  for some  $z \in D$ . Since there is no vertex  $y \in D - \{t\}$  such that  $d_G(u, y) = 2$ , we deduce that  $t$  is isolated in  $G[D]$ .

Conversely, assume that  $G$  has a  $\gamma_{1,3}$ -set  $D$  containing a support vertex  $t$  which is isolated in  $G[D]$ , and let  $u$  be a leaf neighbor of  $t$ . Since  $t$  is isolated in  $G[D]$  and  $\text{diam}(G) \geq 3$ , there is a vertex  $z \in D$  such that  $d_G(u, z) = 3$ . Therefore  $u$  is adjacent to  $t$  and  $d_G(u, z) = 3$ , which means that  $D$  is a  $(1, \overline{3})$ -dset of  $G$ . Hence  $\gamma_{1,\overline{3}}(G) \leq |D| = \gamma_{1,3}(G)$ , and the equality follows from  $\gamma_{1,3}(G) \leq \gamma_{1,\overline{3}}(G)$ .  $\square$

**Theorem 12.** *Let  $G$  be a connected triangle-free graph with  $\text{diam}(G) \geq 4$ . Then  $\gamma_{1,4}(G) = \gamma_{1,\overline{4}}(G)$  if and only if  $G$  has a  $\gamma_{1,4}(G)$ -set  $D$  containing a support vertex  $t$  such that  $d_G(t, y) \geq 3$  for every  $y \in D - \{t\}$ .*

*Proof.* Assume that  $\gamma_{1,4}(G) = \gamma_{1,\overline{4}}(G)$ . Thus  $G$  has a  $(1, 4)$ -dset  $D$  which is also a  $(1, \overline{4})$ -dset. By Proposition 1, there is a leaf  $u$  that is adjacent to a support vertex  $t$  and  $d_G(u, z) = 4$  for some vertex  $z$  in  $D$ . Therefore  $d_G(t, y) \geq 3$  for every  $y \in D - \{t\}$ . Conversely, assume that  $G$  has a  $\gamma_{1,4}(G)$ -set  $D$  containing a support vertex  $t$  such that  $d_G(t, y) \geq 3$  for every  $y \in D - \{t\}$ , and let  $u$  be a leaf adjacent to  $t$ . Clearly  $u \in V - D$ ,  $N_G(u) \cap D = \{t\}$  and  $d_G(u, y) \geq 4$  for every  $y \in D - \{t\}$ . Then there exists a vertex  $z$  in  $D$  such that  $d_G(u, z) = 4$  and there is no vertex  $y \in D - \{t\}$  with  $d_G(u, y) \leq 3$ . Therefore  $u$  is adjacent to  $t$  and  $d_G(u, z) = 4$ , meaning that  $D$  is  $(1, \overline{4})$ -set of  $G$ . Hence  $\gamma_{1,\overline{4}}(G) \leq |D| = \gamma_{1,4}(G)$ , and the equality follows from  $\gamma_{1,4}(G) \leq \gamma_{1,\overline{4}}(G)$ .  $\square$

The following problem can be raised for trees.

**Problem.** Give a constructive characterization of trees  $T$  with  $\gamma_{1,k}(G) = \gamma_{1,\overline{k}}(G)$ , for  $k \in \{3, 4\}$ .

### 4. Complexity results

In [13], Raczek showed that the decision problem corresponding to the problem of computing the  $(1, 2)$ -dominating number is  $\mathcal{NP}$ -complete for bipartite and split graphs. We recall that for  $k = 4$  (respectively,  $k = 1$ ),  $(1, k)$ -dset coincide with dominating sets (respectively, 2-dominating sets) for which the corresponding decision problems are  $\mathcal{NP}$ -complete for bipartite and chordal graphs (see [1–5]).

Our aim in this section is to study the complexity of the  $(1, 3)$ -domination number, the proper  $(1, 3)$ -domination number and the proper  $(1, 4)$ -domination number to which we shall refer as  $(1, 3)$ -DOMINATING SET,  $(1, \overline{3})$ -DOMINATING SET and  $(1, \overline{4})$ -DOMINATING SET, respectively.

#### $(1, 3)$ -DOMINATING SET

*Instance:* A graph  $G$  and a positive integer  $p$ .

*Question:* Does  $G$  have a  $(1, 3)$ -dset of size at most  $p$ ?

(1,  $\bar{3}$ )-DOMINATING SET

*Instance:* A graph  $G$  and a positive integer  $p$ .

*Question:* Does  $G$  have a  $(1, \bar{3})$ -dset of size at most  $p$ ?

(1,  $\bar{4}$ )-DOMINATING SET

*Instance:* A graph  $G$  and a positive integer  $p$ .

*Question:* Does  $G$  have a  $(1, \bar{4})$ -dset of size at most  $p$ ?

We will show that the three decision problems mentioned above are  $\mathcal{NP}$ -complete for bipartite graphs by reducing the well-known  $\mathcal{NP}$ -complete problem Exact-3-Cover (X3C) to them.

EXACT COVER BY 3-SETS (X3C)

*Instance:* Given a set of elements  $X$  with  $|X| = 3q$  and a collection  $C$  of 3-element subsets of  $X$ .

*Question:* Does  $C$  contain an exact cover for  $X$ , i.e. Can we find a subcollection  $C'$  of  $C$  such that every element of  $X$  occurs in exactly one member of  $C'$ ?

**Theorem 13.** *(1, 3)-DOMINATING SET is  $\mathcal{NP}$ -complete for bipartite graphs.*

*Proof.* (1, 3)-DOMINATING SET is members of  $\mathcal{NP}$ , since we can check in polynomial time whether a set  $S$  has cardinality at most  $p$  and is a  $(1, 3)$ -dset.

Next, we show how to construct a bipartite graph  $G = (V, E)$  and a positive integer  $p$  from any instance  $X$  and  $C$  of X3C so that, X3C has a solution if and only if  $G$  has a  $(1, 3)$ -dset of cardinality at most  $p$ .

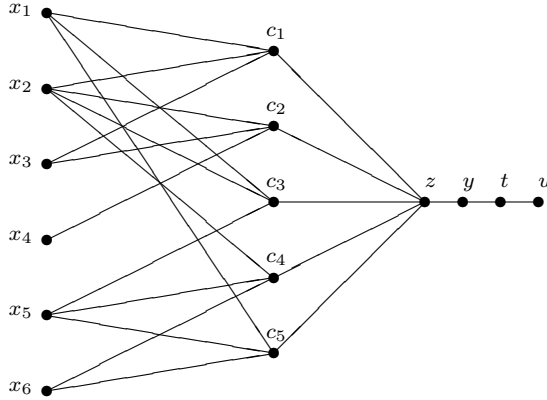
We are given an instance  $X = \{x_1, \dots, x_{3q}\}$  and  $C = \{C_1, \dots, C_m\}$  of X3C, where  $C_j$  are subsets of  $X$  of size  $|C_j| = 3$  for  $1 \leq j \leq m$ , with  $m \geq 2$ . For each  $x_i \in X$ , we create a vertex  $x_i$ . For each  $C_j \in C$ , we create a vertex  $c_j$  and add a path  $P_4 : u-t-y-z$ . To complete the construction, we add all edges  $x_i c_j$  if  $x_i \in C_j$  and all edges  $z c_j$  for  $j \in \{1, 2, \dots, m\}$ . Clearly  $G$  is bipartite (see Figure 2). Set  $p = q + 2$ .

Suppose that the instance  $X$  and  $C$  of X3C has a solution  $C'$ . We construct a  $(1, 3)$ -dset  $D$  as follows: put in  $D$ , the vertices  $t, z$  and  $c_i$  for each  $C_i \in C'$ . Clearly  $y$  and  $u$  are  $(1, 3)$ -dominated by  $D$ . Now, observe that since  $C'$  is a solution for X3C, each  $x_i$  has exactly one neighbor in  $D$  and exactly  $q$  vertices of  $Y = \{c_1, c_2, \dots, c_m\}$  are in  $D$ . Moreover, each  $x_i$  is at distance two from  $z$ . The remaining vertices of  $Y$  are adjacent to  $z$  and are  $(1, 3)$ -dominated by  $D$ . Therefore,  $D$  is a  $(1, 3)$ -dset for  $G$  of cardinality  $q + 2 = p$ .

Conversely, assume that  $G$  has a  $(1, 3)$ -dset  $D$ , of cardinality at most  $p = q + 2$ . Clearly for the path  $u-t-y-z$  at least two vertices are in  $D$ . Now, let  $n_1 = |D \cap X|$  and  $q_1 = |D \cap Y|$ . Then  $|D| \geq n_1 + q_1 + 2$ . Since the vertices of  $X - D$  have to be dominated by  $D \cap Y$ ,  $|X - D| \leq 3|D \cap Y|$ . So, we have  $3q - n_1 \leq 3q_1$ , leading to  $n_1 \geq 3q - 3q_1$ . Therefore  $|D| \geq n_1 + q_1 + 2 \geq 3q - 2q_1 + 2$ . Combining this with the fact that  $|D| \leq p = q + 2$ , we deduce that  $q_1 \geq q$ . It follows that  $|D \cap Y| = q$ , and hence every vertex  $x_i$  of  $X$  has exactly one neighbor in  $D \cap Y$ , meaning that  $C' = \{C_i : c_i \in D \cap Y\}$  is an exact cover for  $X$ . □

Looking at the proof of Theorem 13, we can observe that  $D$  is also a  $(1, \bar{3})$ -dset, since  $u$  is  $(1, \bar{3})$ -dominated by  $D$ . Therefore we can state the following.

**Corollary 9.**  $(1, \bar{3})$ -DOMINATING SET is  $\mathcal{NP}$ -complete for bipartite graphs.



**Figure 2.** A construction of bipartite graph  $G$  for  $q = 2$ .

Finally to show the  $\mathcal{NP}$ -completeness of  $(1, \bar{4})$ -DOMINATING SET, we construct a bipartite graph  $G'$  in the same way as  $G$  in the proof of Theorem 13, but instead of adding the path  $u-t-y-z$ , we add the path  $u-t-y_1-y_2-z$ . It is not difficult to see that  $\{t, z\} \cup \{c_i : C_i \in C'\}$  is  $(1, \bar{4})$ -dset of  $G'$ , since  $u$  is  $(1, \bar{4})$ -dominated by  $D$ . Therefore we have the following result.

**Theorem 14.**  $(1, \bar{4})$ -DOMINATING SET is  $\mathcal{NP}$ -complete for bipartite graphs.

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